United States
Environmental Protection Agency

Office of Superfund
Remediation and
Technology Innovation

Directive 9200.4-40
EPA 540-R-012-13
May 2014

Radiation Risk Assessment At CERCLA Sites: Q & A

NOTICE: The policies set out in this document are intended solely as guidance to U.S. Environmental Protection Agency (EPA) personnel; they are not final EPA actions and do not constitute rulemaking. These policies are not intended, nor can they be relied upon, to create any rights enforceable by any party in litigation with the United States. EPA officials may decide to follow the guidance provided in this document, or to act at variance with the guidance, based on analysis of specific-site circumstances. EPA also reserves the right to change the guidance at any time without public notice.

Table of Contents

	Page
Introduction	2
Purpose	4
I. Data Collection and Evaluation	4
Q1. What strategy and key information should be considered during the initial planning stage for radiological data collection?	4
Q2. How should a list of radionuclides of concern be developed?	6
Q3. What criteria should be used to determine areas of radioactive contamination or radioactivity releases?	8
Q4. How should the areal extent and depth of contamination be determined?	9
Q5. What field radiation survey instruments should be used and what are their lower limits of detection?	9
Q6. What sample measurement units for radiation risk assessment are typically used?	10
Q7. What sample measurement units for remedial action evaluation may be used?	10
Q8. Are radionuclides included in EPA's Contract Laboratory Program (CLP)? If not, where should comparable radioanalytical services be obtained?	11
Q9. How can I decide if the data collected are complete and of good quality?	12
II. Exposure Assessment	12
Q10. For CERCLA risk assessments, is it appropriate to use guidance developed by other Federal, State or Tribal Agencies or by International or National organizations?	12
Q11. How does the exposure assessment for radionuclides differ from that for chemicals?	12
Q12. Can exposure pathways be added or deleted based on site-specific conditions?	13
Q13. How should radioactive decay products be addressed?	16
Q14. To what extent should generic and site-specific factors and parameter values be used in exposure assessments?	16
Q15. How should exposure point concentrations be determined?	16
Q16. What calculation methods or multimedia radionuclide transport and exposure models are recommended by EPA for Superfund risk assessments?	17
Q17. How should Radon-222 (radon) and Radon-220 (thoron) exposures and risks be evaluated?	18
Q18. How long a time period should be considered for possible future exposures?	19
Q19. How should the results of the exposure assessment for radionuclides be presented?	19
III. Toxicity Assessment	20
Q20. What is the mechanism of radiation damage?	20
Q21. What are radionuclide slope factors?	20
Q22. What are radionuclide dose conversion factors?	21
Q23. What is dose equivalent, effective dose equivalent, and related quantities?	21
Q24. What is the critical organ approach to dose limitation?	22
Q25. How should radionuclide slope factors and dose conversion factors be used?	22
Q26. In addition to cancer, should the potential teratogenic and genetic effects of radiation exposures be considered?	23
Q27. Should chemical toxicity of radionuclides be considered?	25
IV. Risk Characterization	25
Q28. How should radionuclide risks be estimated?	25
Q29. Should radionuclide and chemical risks be combined?	25
Q30. How should risk characterization results for radionuclides be presented?	26
Q31. Should the collective risk to populations be estimated along with that to individual receptors?	26
Q32. How should uncertainty in estimates of radiation risk be addressed in the risk characterization report?	26
Q33. When should a dose assessment be performed	27
Q34 What is the upper end of the risk range with respect to radionuclides	27
Q35 Should the ARAR protectiveness criteria evaluation recommendation be changed from 15 mrem/yr to reflect the updates to Radiation risk estimates contained in Federal Guidance Report 13?	28
Q36 Should dose recommendations from other federal be used to assess risk or establish cleanup levels?	28
Q37. How and when should exposure rate be used to estimate radionuclide risks?	29
Q38. What radiation standards may be applicable or relevant and appropriate requirements (ARARs)?	30
V. Ecological Assessments	30
Q39. What guidance is available for conducting ecological risk assessments?	30
VI. Background Radiation	31
Q40. How should background levels of radiation be addressed?	31
Where to go for Further Information	33
References	34
Appendix A: EPA's Recommended Guidance for Radiation Risk Assessment at CERCLA Remedial Sites	43

INTRODUCTION

Some sites on the U.S. Environmental Protection Agency's (EPA) National Priorities List (NPL) are radioactively contaminated. To assist in the evaluation and cleanup of these sites under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA or Superfund), EPA's Office of Superfund Remediation and Technology Innovation (OSRTI) has developed guidance for conducting radiation risk assessments during the remedial investigation/feasibility study (RI/FS) process of the CERCLA remedial program. This guidance may also be useful for non-time critical removal actions.

This guidance does not address emergency or time-critical removals conducted under CERCLA. Also, this guidance does not address how other cleanup programs (those not conducted under CERCLA authority) should be implemented. Persons conducting radiation risk assessments at sites using these other authorities should consult the regulations and guidance that are appropriate for that authority.

EPA has developed a number of guidance for the CERCLA remedial program. Users of this guidance should prior to conducting any CERCLA radiation risk assessments at remedial sites be familiar with the following guidance specific to radiation risk assessment for the CERCLA remedial program and how they relate to one another:

- The *Preliminary Remediation Goals (PRGs) for Radionuclides* electronic calculator, known as the Rad PRG calculator (U.S. EPA 2002a).

- The *Building Preliminary Remediation Goals for Radionuclides (BPRG)* electronic calculator (U.S. EPA 2007).

- The *Radionuclide Outdoor Surfaces Preliminary Remediation Goals (SPRG)* electronic calculator (U.S. EPA 2009a).

- *Soil Screening Guidance for Radionuclides* contains both a *User's Guide* and *Technical Background Document*, (known as the Rad SSG documents) that provide information on soil screening for radionuclides at CERCLA sites (U.S. EPA 2000a, 2000b). The risk assessment equations and the soil screening levels (SSLs) in this guidance have been superseded by the Rad PRG calculator.

- *ARAR Dose Compliance Concentrations for Radionuclides (DCC)* electronic calculator (U.S. EPA 2004a).

- *ARAR Dose Compliance Concentrations for Radionuclides in Buildings (BDCC)* electronic calculator (U.S. EPA 2010a), known as the BDCC calculator.

- *ARAR Radionuclide Outdoor Surfaces Dose Compliance Concentrations for Radionuclides (SDCC)* electronic calculator (U.S. EPA 2010b), known as the SDCC calculator.

- Chapter 10, "Radiation Risk Assessment Guidance" of *RAGS* Part A (U.S. EPA 1989a).

- Chapter 4, "Risk-based PRGs for Radioactive Contaminants," of *RAGS* Part B (U.S. EPA 1991a).

- Appendix D, "Radiation Remediation Technologies," of *RAGS* Part C (U.S. EPA 1991b).

- *RAGS* Part D, *Standardized Planning, Reporting, and Review of Superfund Risk Assessments* (U.S. EPA 1998a).

- *Superfund Radiation Risk Assessment and How You Can Help: An Overview* (U.S. EPA 2005a).

Appendix A "EPA's Recommended Guidance for Radiation Risk Assessment at CERCLA Remedial Sites," which are the last two pages of this guidance, has a short overview of these guidance for radiation risk assessment at CERCLA remedial sites. In addition to PRG and DCC calculators, *Soil Screening Guidance for Radionuclides* (Rad SSG) documents, and *RAGS,* EPA has published several other guidance documents and OSWER directives concerning risk assessment methods for radioactive and nonradioactive contaminants for remedial sites. The PRG and DCC calculators are frequently updated. OSWER directives specific to radioactive contaminants may be found at the Superfund Radiation website at http://www.epa.gov/superfund/health/contaminants/radiation/index.htm.

Overall, the process for assessing radionuclide exposures and radiation risks at remedial sites for humans that is presented in the PRG and DCC calculators, Rad SSG documents, *RAGS*, and in supplemental guidance documents parallels the process for assessing risks from chemical exposures (exposure assessment, toxicity assessment, and risk characterization). Both types of assessments follow the same evaluation process, consider similar exposure scenarios and pathways (except the external "direct exposure" pathway, which is unique to radiation and is included in the PRG and DCC calculators [EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b]; and the dermal exposure pathway, which is not a significant contributor to radiological risk and so is not included in the current PRG and DCC calculators); determine exposure point concentrations; and provide estimates of cancer risks to humans.

However, several aspects of risk assessment for radioactive contaminants differ substantially from those considered for chemical contaminants. Occasionally these differences—in measurement units, exposure terms and concepts, field and laboratory procedures and detection limits, and toxicity criteria, among others—have led to questions concerning the Agency's recommended approach for addressing radionuclide contamination and risk and the remediation of CERCLA radiation sites.

PURPOSE

OSRTI has prepared this document to provide answers to questions regarding risk assessments at radioactively contaminated CERCLA remedial action sites raised by Remedial Project Managers (RPMs), risk assessors, federal, state and local agencies, potentially responsible parties (PRPs), and contractors. These questions and answers supplement the Frequently Asked Questions (FAQs) that accompany the remedial program's on-line calculators (see http://epa-prgs.ornl.gov/radionuclides/faq.html). This document supersedes an earlier version issued in 1999 (EPA 1999a). Its purpose is to provide an overview of current EPA guidance for risk assessment and related topics for radioactively contaminated CERCLA remedial sites.

The questions and answers (Q&A) that follow are presented in sections corresponding to the four basic steps in the CERCLA risk assessment process:

1. Data Collection and Evaluation
2. Exposure Assessment
3. Toxicity Assessment
4. Risk Characterization

I. DATA COLLECTION AND EVALUATION

Q1. What strategy and key information should be considered during the initial planning stage for radiological data collection?

A. The data quality objectives (DQO) process is an important tool for project managers and planners to determine the types, quantity, and quality of data needed to support decisions. Detailed guidance on the DQO process can be found in *Guidance for the Data Quality Objectives Process* (U.S. EPA 1994a), Data Quality Objectives for Superfund (U.S. EPA 1993a), and *Uniform Federal Policy for Implementing Environmental Quality Systems: Evaluating, Assessing, and Documenting Environmental Data Collection/Use and Technology Programs* (U.S. EPA 2005b). Additional guidance on the application of this process at radiation sites can be found in the *Soil Screening Guidance for Radionuclides* (Rad SSG) documents which provide EPA's recommended guidance on site-characterization of radioactively contaminated sites and in *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM) (U.S. EPA et al. Rev 1. 2000d), which provides technical information on final status surveys of radioactively contaminated sites. The DQO process outlined in these documents should be completed during the initial planning stage for data collection.

At a minimum, site characterization should evaluate the following key information and considerations:

✓ Review of the site history and records collected during the preliminary assessment and site inspection (PA/SI), considering:

- Past site operations
- Types and quantities of radioactive material used or produced
- Radioactive waste stream characteristics
- Disposal practices and records
- Previous radiological characterization data and/or environmental monitoring data
- Physical site characteristics (hydrology, geology, meteorology, etc.)
- Demography
- Current and potential future land use

✓ Formulation of a conceptual site model to:

- Identify radionuclides of concern
- Identify the time period for assessment
- Identify potentially contaminated environmental media
- Identify likely release mechanisms, potential for migration and exposure pathways
- Identify potential human and ecological receptors
- Focus initial surveys and sampling and analysis plans

✓ Development of comprehensive sampling plans based on the conceptual site model and available historical information to:

- Confirm the identities of radionuclide contaminants
- Confirm release mechanisms and exposure pathways
- Measure or model exposure point concentrations and point exposure rate (as appropriate for the type of radioactive decay)
- Confirm human and ecological receptors including sensitive sub-populations
- Specify cleanup levels or develop preliminary remediation goals
- Establish DQOs

Figure 1 depicts typical conceptual site models for human health risk assessments at CERCLA sites with radioactive contamination. The user guides of each of the PRG and DCC calculators (EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b) include guidance on developing conceptual site models for the exposure routes addressed by each model. Also, each of the illustrations in Figure 1 appears in the PRG and DCC user guides with additional explanatory text and in a larger size that is more legible.

The Rad SSG documents provide EPA's recommended guidance on planning, implementing, and evaluating radiological site characterization for surface and subsurface soil. The Rad SSG documents are consistent with EPA's site characterization guidance for chemicals.

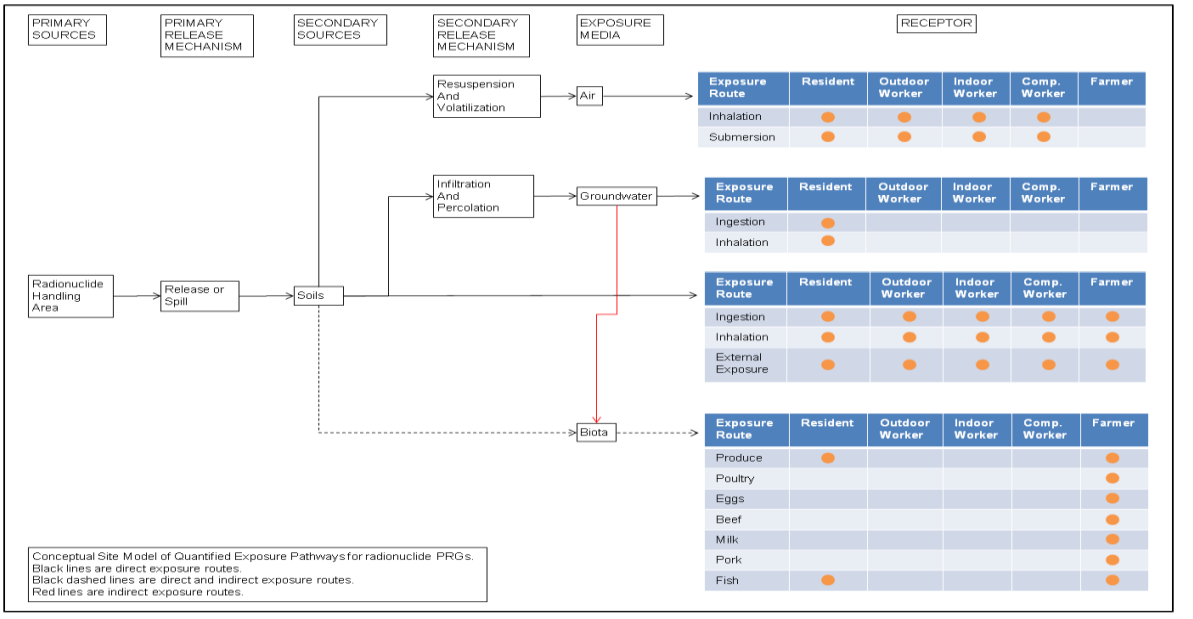
The *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM) (U.S. EPA et al. Rev 1. 2000d) provides guidance on planning, implementing, and evaluating radiological final status site surveys for surface soil and buildings. Final status surveys follow scoping, characterization, and any necessary remedial actions. Although this multi-agency technical document is not a recommended guidance for CERCLA remedial sites, it may provide useful information on final status surveys to demonstrate compliance with dose-based or risk-based criteria.

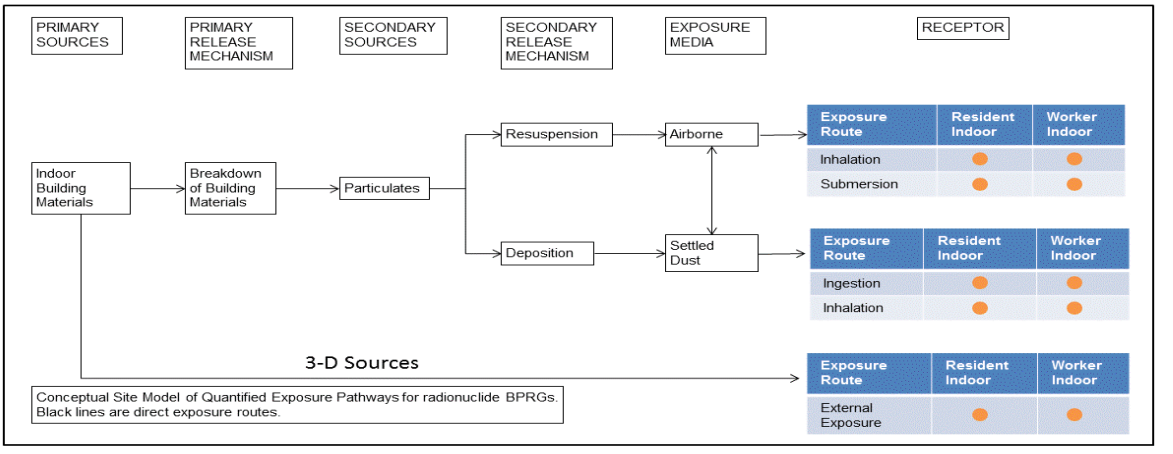
Q2. How should a list of radionuclides of concern be developed?

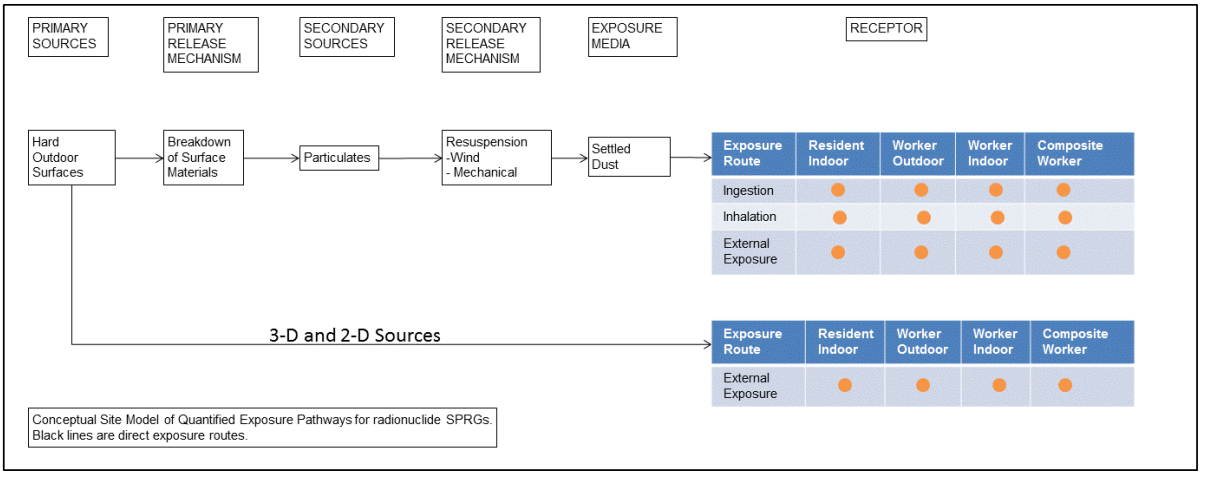
A. When developing a list of potential contaminants of concern, the list should initially be developed to be as inclusive of potential contaminants as possible. As more information and data are collected and evaluated, it may be appropriate to reduce the number of contaminants on the list to include only those that are of concern based on potential exposure pathways and the toxicity of site contaminants. An initial list of radionuclides of potential concern should be based on a review of previous site operations, including disposal, that contributed to the current levels of contamination as well as the conceptual site model. As a first consideration, all radionuclides potentially used, produced or disposed at the site should be included on the list. As appropriate, the list should also include all radioactive decay products that may have formed since disposal or termination of operations. Radionuclides with short half-lives and no parent radionuclide to support ingrowth may be considered for exclusion from the list if no slope factor was developed for the radionuclide. However, careful consideration should be given to its initial and current activity inventories, its radioactive half-life, and the time elapsed since the contamination occurred to the present before a short-lived radionuclide is excluded from the list.

Site characterization efforts should be directed to confirming or refuting the presence of the radionuclides of concern in on-site sources and in environmental media contaminated by releases migrating or being transported and dumped off-site. The activity concentrations of radionuclides (and decay products, if appropriate) in each medium should then be compared with site-specific background concentrations of those radionuclides (i.e., radionuclide concentrations in environmental media not related to site operations or releases), Preliminary Remediation Goals (PRGs), screening levels, or potential remediation criteria (see Q3). Caution should be exercised in making these comparisons, since radionuclide concentrations in environmental media may change over time due to radioactive decay and ingrowth; therefore, consideration should be given to the radioactive half-life of the radionuclides of concern and any decay products, and the time period over which risks will be evaluated.

Figure 1. Typical Conceptual Site Models for Humans







Q3. What criteria should be used to determine areas of radioactive contamination or radioactivity releases?

During the site assessment phase, Section 7 of EPA's revised Hazard Ranking System (HRS) (see Appendix A to 40 Code of Federal Regulations [CFR] Part 300) outlines the methodology for evaluating radioactive releases and determining whether a radioactive release is a high priority for the CERCLA remedial program.

During risk assessments, guidance for the measurement and evaluation of radiological contaminants is provided in the *Soil Screening Guidance for Radionuclides* (Rad SSG) documents (U.S. EPA 2000a, 2000b). The Rad SSG also provides guidance on the determination of site-specific background levels for comparison to site measurements. The Soil Screening Levels (SSLs) are not cleanup standards, but may be used to inform further investigation at sites. The SSL risk assessment equations have been superseded by those in the PRGs calculator where applicable or relevant and appropriate requirements (ARARs) are not available or sufficiently protective; therefore, the PRG calculator should be used for determining SSL risk based concentrations rather than the Rad SSG documents.

General guidance to inform the evaluation of radiological contamination is provided in the following Agency documents:

- *Methods for Evaluating the Attainment of Cleanup Standards—Volume 1: Soil and Soil Media* (U.S. EPA 1989b)
- *Statistical Methods for Evaluating the Attainment of Cleanup Standards—Volume 2: Ground Water* (U.S. EPA 1992a)
- *Statistical Methods for Evaluating the Attainment of Cleanup Standards—Volume 3: Reference-Based Standards for Soils and Solid Media* (U.S. EPA 1992b)

Although these documents do not specifically address radionuclides, most of the evaluation methods and tests provided in these documents should be applicable to both radioactive and nonradioactive contaminants.

There are two general sampling approaches for determining what is contaminated for site characterization or demonstrating compliance with cleanup levels; a not-to-exceed (NTE) or area averaging (AA) approach. In general, the same sampling approach should be used for both radionuclide and chemical contaminants in the same medium at the same site (e.g., soil, groundwater, surface water, air, or buildings) to facilitate a consistent approach for addressing radionuclides and chemicals; generally, samples for both should be collocated in the media of interest. For groundwater contamination, EPA's Superfund remedial program generally recommends an NTE approach. EPA's Superfund remedial program general practice has been to use the NTE approach for soil where residential land use is assumed. If using the AA approach, users should ensure that exposure of receptors across the exposure unit is random. However, exposure is not expected to be random under residential land use because residents often engage in activities (such as gardening or child's play) in specific portions of a yard. Under most residential situations and other non-

random exposure situations, remediating with the AA approach may not be protective of human receptors. When an AA approach is used, software such as Spatial Analysis and Decision Assistance (SADA) (Stewart and Purucker 2011) may be useful for providing visual representations of surface and subsurface contamination and plotting random surface and subsurface sampling locations across a survey area.

Q4. How should the areal extent and depth of radioactivity contamination be determined?

A. As noted in Q1, a conceptual site model generally should be developed to identify reasonable boundaries for investigating the nature and extent of contamination. General guidance for site characterization activities is provided in *Guidance for Conducting Remedial Investigations and Feasibility Studies under CERCLA* (U.S. EPA 1988a). Guidance specifically for site characterization of radionuclides in soil is found in the *Soil Screening Guidance for Radionuclides* documents (U.S. EPA 2000a, 2000b).

The choice of a specific method or methods to characterize remedial sites contaminated with radioactive substances depends on several factors, including the decay characteristics of the radionuclides potentially present at the site, suspected contamination patterns, and activity concentrations. Ground-based or aerial radiation surveys are typically conducted for gamma-emitting radionuclides in near-surface sources, in addition to surface sampling to characterize the areal extent of contamination. Borehole logging for gamma emitters, core sampling programs for radionuclides that emit alpha, beta or gamma radiation, or a combination of all types of methods, may be advisable for subsurface contamination. In addition to measurements to determine volumetric contamination in environmental media, measurements of surface contamination on building and equipment surfaces may also be needed. Additional discussion of measurement techniques and their limitations for soil and buildings is provided in *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM) (U.S. EPA et al. Rev 1. 2000d), and for equipment is provided by *Multi-Agency Radiation Survey and Assessment of Materials and Equipment Manual* (MARSAME) (U.S. EPA et al. 2009b).

Q5. What field radiation survey instruments should be used and what are their lower limits of detection?

A. Selection of appropriate radiation detection instruments for site characterization depends on the decay characteristics of the radionuclides potentially present at the site, suspected contamination patterns, and activity concentrations, among other factors. Numerous documents have been written on this topic. For a general discussion on radiation survey instruments, readers are directed to *Real-Time Measurement of Radionuclides in Soil: Technology and Case Studies* document (ITRC 2006), *Real-Time Measurement of Radionuclides in Soil* on-line training course (ITRC 2008), *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM) (U.S. EPA et al. Rev 1. 2000d) and Chapter 10 of *RAGs* Part A (U.S. EPA 1989a). For supplemental information regarding the usability of analytical data for performing a baseline risk assessment at radioactively contaminated sites, readers should refer to *Guidance for Data Usability in Risk Assessment, Part B* (U.S. EPA l992d).

Q6. What sample measurement units for radiation risk assessment are typically used?

A. Concentrations of radionuclides in environmental media are typically expressed in terms of "activity" of the radionuclide per unit mass (for soil, sediment, and food-stuffs) or volume (for water and air) of the environmental medium. Two different systems of units for radioactivity are currently in common usage: the International System (SI) units, and the "conventional" or "traditional" units, which were used before the advent of the SI system. The principal unit of radioactivity in the SI system is the Becquerel (1 Bq = 1 disintegration/second), while the basic conventional unit of activity is the Curie (1 Ci = 3.7 x 10^{10} Bq). Since most radiation standards in the United States are expressed in conventional units, this system is used in this document. Concentrations of radionuclides in environmental media at contaminated sites are typically far below Curie quantities, and are commonly expressed in units of picoCuries (1 pCi = 10^{-12} Ci = 3.7 x 10^{-2} Bq). Typical conventional units for reporting environmental measurements are picoCuries per gram (pCi/g) for soil (dry-weight), picoCuries per liter (pCi/L) for groundwater or surface water, and picoCuries per cubic meter (pCi/m^3) for air. The corresponding SI units, typically used in other countries, are Bq/g, Bq/L, Bq per 100 cm^2, and Bq/m^3.

Radionuclide concentrations on building or equipment surfaces are specified in units of the activity concentrations of the radionuclide of concern in a specified surface area, typically disintegration per minute (dpm) per 100 square centimeters (cm^2) or pCi per 100 cm^2, while the SI system, typically used in other countries, would use Bq per 100 cm^2.

A special unit, the working level (WL), is used as a measure of the concentration of short-lived radon decay products in air. WL is any combination of short-lived radon decay products in 1 liter of air that will result in the ultimate emission of 1.3 x 10^5 million electron volts (MeV) of alpha energy. The working level month (WLM) is exposure to 1 WL for 170 hours (l working month).

The radiation "exposure" rate is often reported in addition to radionuclide concentrations in environmental media. Radiation exposure, in this context, refers to the transfer of energy from a gamma radiation field to a unit mass of air. The unit for radiation exposure is the roentgen (1 R = 2.58 x 10^{-4} coulombs of charge per kilogram of air). Exposure rates at contaminated sites are typically expressed in units of microroentgens/hour (µR/hr).

Q7. During a remedial action evaluation what sample measurement units may be used?

A. For remedial action evaluations, it is often useful to express radionuclide concentrations in terms of mass concentration. Mass units provide insight and information into treatment selection, treatment compatibility, and treatment efficiency, particularly for remedial actions involving mixed waste. However since radionuclides are generally measured in terms of activity for health evaluation purposes, except when assessing the non-cancer risk posed by uranium, the practice of using activity should continue for response actions at CERCLA remedial sites in order to ensure protectiveness of human health. Proposed and final site decision documents (e.g., proposed plans, Record of Decisions [RODs]) generally should include, in addition to activity measurements, estimates of concentrations in terms of mass consistent with those used for non-radiological contaminants. Typical units for

expressing mass in environmental media for soil and water are milligrams per kilogram (mg/kg) for soil and milligrams per liter (mg/L) for water. These mass units also can be expressed as parts per million (ppm) for soil and water, which is equivalent to mg/kg and mg/L since the density of water is 1 kilogram per liter (kg/L) under most environmental conditions. To estimate the radionuclide concentrations in ppm, the following equations can be used:

$$mg/kg_{soil} = (2.8 \times 10^{-12}) \times A \times T_{1/2} \times pCi/g$$

$$mg/l_{water} = (2.8 \times 10^{-15}) \times A \times T_{1/2} \times pCi/L$$

$$ppm_{soil} = (2.8 \times 10^{-12}) \times A \times T_{l/2} \times pCi/g$$

$$ppm_{water} = (2.8 \times 10^{-15}) \times A \times T_{l/2} \times pCi/L$$

where A is the radionuclide atomic weight and $T_{1/2}$ is the radionuclide half-life in years. Most radionuclides have half-lives ranging from a few years to 10,000 years, which means that for most radionuclides, an activity of 1 pCi/g would mean the concentration value of the radionuclide would be well under 1×10^{-6} ppm. EPA's PRG and DCC calculators (EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b) provide concentrations in media corresponding to the target risk and dose in both activity and mass where it is possible to convert activity concentrations to mass.

Q8. Are radionuclides included in EPA's Contract Laboratory Program (CLP)? If not, where should comparable radioanalytical services be obtained?

A. Radionuclides are not standard analytes in EPA's CLP program. Contract laboratory support for radionuclide analysis may be obtained through the EPA Office of Emergency Management (OEM) Environmental Response Laboratory Network (ERLN), which keeps an updated list of laboratories or through EPA's radiation laboratories. Generally, radioanalytical services may also be obtained through a site-specific or pre-placed EPA regional or national contract or Interagency Agreement that provides access to analytical services.

EPA has published information on radionuclide methods in *Multi-Agency Radiological Laboratory Analytical Protocols Manual* (MARLAP) (U.S. EPA et al. 2004b) *Inventory of Radiological Methodologies for Sites Contaminated with Radioactive Materials* (U.S. EPA 2006) and Chapter 10 of *RAGS* Part A (U.S. EPA 1989a). MARLAP provides guidance for planning, implementing, and assessing projects that are using the laboratory analysis of radionuclides. The U.S. EPA 2006 document describes radioanalytical methodologies used to characterize environmental samples containing radionuclides, including screening methodologies and radionuclide-specific analyses. In addition, EPA's *Radiochemistry Procedures Manual* (U.S. EPA 1984) provides information for radionuclide-specific analytical techniques.

Q9. How can I decide if the data collected are complete and of known quality?

A. All data should be collected under an approved site-specific Quality Assurance Project Plan (QAPP), which should include appropriate data validation criteria. Relevant policies and guidance pertaining to quality assurance and the development of QAPPs are available at http://epa.gov/quality/document (e.g., EPA Quality Program Policy CIO 2106.0; EPA Requirements for Quality Management Plans (QA/R-2), EPA Requirements for QA Project Plans (QA/R-5), and Guidance on Systematic Planning Using the Data Quality Objectives Process EPA QA/G-4).

II. EXPOSURE ASSESSMENT

Q10. For CERCLA risk assessments at remedial sites, is it appropriate to use guidance or approaches developed by other Federal, State or Tribal Agencies or by International or National Organizations?

A. EPA has made the policy decision that risks from radionuclide exposures at remedial sites should be estimated in the same manner as chemical contaminants, which is consistent with EPA's remedial program implementing guidance (e.g., EPA 1997g, 1999d, 2000f). Consequently, approaches that do not follow the remedial program's policies and guidance should not be used at CERCLA remedial sites. Should regional staff have questions, they should consult with the Superfund remedial program's National Radiation Expert (Stuart Walker of OSRTI at the time this fact sheet was issued, at (703) 603-8748 or walker.stuart@epa.gov), before using guidance from other organizations that is not already incorporated into this and other EPA Superfund remedial program guidance. The current Superfund remedial program's National Radiation Expert will be listed on the Superfund Radiation webpage at: http://www.epa.gov/superfund/health/contaminants/radiation/index.htm.

Q11. How does the exposure assessment for radionuclides differ from that for chemicals?

A. For the Superfund remedial program, exposure assessment for radionuclides is similar to that for chemicals. Both nonradioactive chemical assessments and radionuclide assessments should follow the same basic steps—characterizing the exposure setting, identifying exposure pathways and potential receptors, estimating exposure point concentrations, and estimating exposures and intakes. In addition to the exposure pathways considered for chemicals (e.g., ingestion of contaminated water, soil, or foodstuffs, and inhalation of contaminated air), external exposure to penetrating radiation (i.e., gamma radiation and X-rays) may be an important exposure pathway for certain radionuclides in near-surface soils. Dermal absorption is considered to be an insignificant exposure pathway for radionuclides and generally is not evaluated. However, radionuclides that are on the skin would be appropriate for evaluation under the external pathway. Figure 2 depicts typical exposure pathways for humans to radionuclides; additional pathways that may be considered on a site-specific basis, where appropriate,

are discussed in Q12. Additional discussion of radiation exposure pathways is provided in the user guides for the PRG and DCC calculators (EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b); each of these illustrations in Figure 2 appears in these user guides with additional explanatory text and in a larger size that is more legible.

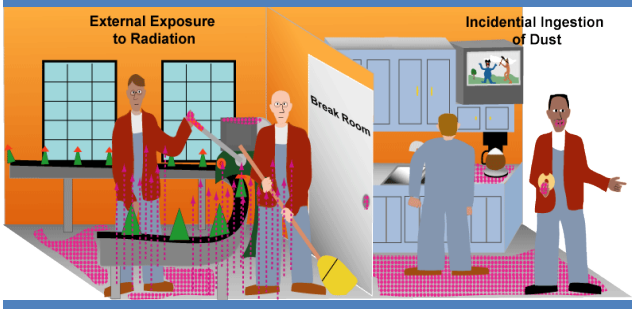
Q12. Should exposure pathways be added or deleted based on site-specific conditions?

A. Generally, yes. Inclusion or deletion of exposure pathways should be based on site-specific conditions, including but not limited to local hydrology, geology, potential receptors, and current and potential future land use, among other factors. Accordingly, some exposure pathways may not be appropriate for a given site and may be deleted; in such cases, the Region should explain its justification for doing so and provide specific supporting data and information in the administrative record documents that discuss the risk assessment (e.g., Baseline Risk Assessment, RI, ROD, etc.). In other cases, exposure pathways that are typically not significant may be important under site-specific conditions (e.g., ingestion of contaminated fish for recreational scenarios, ingestion of contaminated meat or milk from livestock for agricultural scenarios) and should be included in the assessment. A well-supported conceptual site model should facilitate users making site-specific adjustments when appropriately supported by site-specific information, such as deleting the contaminated fish pathway for the agricultural scenario when the site is in an area that would not support fish ponds.

Figure 2. Typical Radionuclide Exposure Pathways for Humans

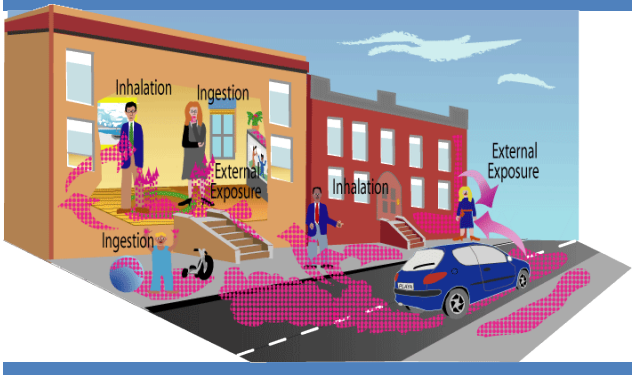
Figure 2. Typical Radionuclide Exposure Pathways for Humans – continued

Indoor Worker: Settled Dust



Indoor Worker: Ambient Air

Settled Dust: Resident

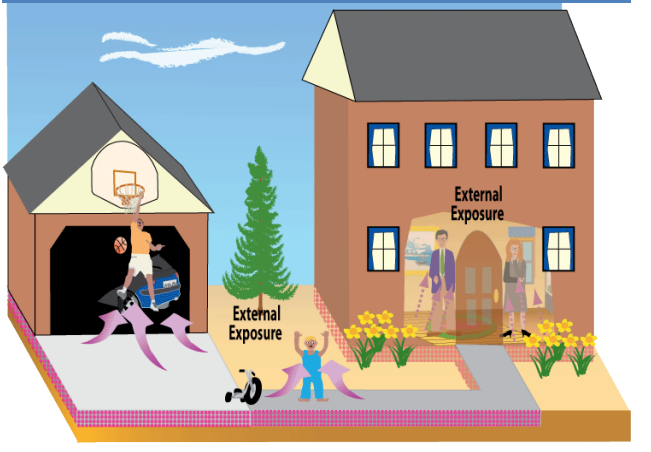


3D Exposure to Fixed Contaminated Building Materials (Outdoor Worker)

Indoor Worker: Contaminated Building Materials

Indoor Worker: Settled Dust on Indoor Surfaces

2D Exposure to Fixed Contaminated Finite Slabs (Resident)



Q13. How should radioactive decay products be addressed in an exposure assessment to determine dose or risk?

A. All radionuclides, by definition, undergo radioactive decay. In this process, one unstable nucleus of an element transforms (decays) spontaneously to a nucleus of another element. As the unstable nucleus decays, energy is released as particulate or photon radiation, or both, and the radionuclide is transformed in atomic number and/or atomic mass. The resulting decay products, or progeny, may also be radioactive and undergo further decay. Various decay products may have different physical and chemical characteristics that affect their fate and transport in the environment as well as their radiotoxicity. In cases where decay products have greater radiotoxicity than the original radionuclide, the potential radiation dose and health risk may increase over time; in such cases, the exposure assessment should consider the change in concentrations of all decay products over time to determine the time of maximum potential impact.

To help ensure protectiveness of human health, consideration of all potential radioactive decay products is a key element of the exposure assessment for radionuclides. Many of the computerized mathematical models available for simulating the behavior of radionuclides in the environment (see Q16) incorporate the ingrowth and decay of radioactive decay products as a function of time; these models are useful in pinpointing the time of maximum dose or risk. Similarly, slope factors (see Q21) and dose conversion factors (see Q22) for some radionuclides may include consideration of radioactive decay products, where appropriate, to facilitate these considerations in estimating potential radiation dose and risk. However, these values typically assume that all decay products are present at the same concentration as the primary radionuclide (i.e., secular equilibrium), which may not be appropriate for all situations. In those situations model users may need to calculate risks or doses for various radionuclides in the decay chain separately and use a sum of the fractions approach for determining total risk or dose. For additional information regarding such limitations see the user guides for the PRG and DCC calculators (U.S. EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b).

Q14. To what extent should generic and site-specific factors and parameter values be used in exposure assessments?

A. For both radionuclide and chemical assessments in the Superfund remedial program, EPA recommends use of empirically-derived, site-specific factors and parameter values, where these values can be justified and documented. For generic assessments, EPA recommends use of the default parameter values provided in the PRG and DCC calculators (EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b).

Ql5. How should exposure point concentrations be determined?

A. As for chemical contaminants, exposure point concentrations for radionuclides in environmental media and radiation exposure rates (e.g., alpha, beta, and gamma) should be either measured, modeled, or both, to help ensure protectiveness of human health. To

the extent possible, measurement data should be used to evaluate current exposures. When measurements at the exposure locations cannot be made, or when potential concentrations and exposures will be predicted at future times, modeling may be needed to estimate past or future movement of radionuclides (see Q16).

Q16. What calculation methods or multimedia radionuclide transport and exposure models are recommended by EPA for Superfund risk assessments?

A. The PRG calculators (U.S. EPA 2002a, 2007, 2009a), which are used to develop risk-based PRGs for radionuclides, are recommended by EPA for Superfund remedial radiation risk assessments. These risk and dose assessment models are similar to EPA's methods for chemical risk assessment at CERCLA sites. Guidance on how to use each calculator, the default input parameters and their sources, is provided in the user guide for each calculator. In addition, a tutorial for using the PRG calculator is included in module 3 of the on-line training course *Radiation Risk Assessment: Update and Tools* (ITRC 2007), and a tutorial for the BPRG and SPRG calculators is provided in module 3 of the on-line training course *Decontamination and Decommissioning of Radiologically-Contaminated Facilities* (ITRC 2008b). The PRG calculator superseded the *Soil Screening Guidance for Radionuclides* (Rad SSG) calculator (U.S. EPA 2000e).

To avoid unnecessary inconsistency between radiological and chemical risk assessment at the same site, users should generally use the same model for chemical and radionuclide risk assessment. If there is a reason on a site-specific basis for using another model justification for doing so should be developed. The justification should include specific supporting data and information in the administrative record. The justification normally would include the model runs using both the recommended EPA PRG model and the alternative model. Users are cautioned that they should have a thorough understanding of both the PRG recommended model and any alternative model when evaluating whether a different approach is appropriate. When alternative models are used, the user should adjust the default input parameters to be as close as possible to the PRG inputs, which may be difficult since models tend to use different definitions for parameters. Numerous computerized mathematical models have been developed by EPA and other organizations to predict the fate and transport of radionuclides in the environment; these models include single-media unsaturated zone models (for example, groundwater transport) as well as multi-media models. These models have been designed for a variety of goals, objectives, and applications; as such, no single model may be appropriate for all site-specific conditions. Generally, even when a different model is used to predict fate and transport of radionuclides through different media, EPA recommends using the PRG calculators for the remedial program to establish the risk-based concentrations to ensure consistency with CERCLA, the NCP and EPA's Superfund guidance for remedial sites.

EPA has evaluated five soil to groundwater models ranging from the simple to the multi-dimensional in *Simulating Radionuclide Fate and Transport in the Unsaturated Zone: Evaluation and Sensitivity Analyses of Select Computer Models* (EPA 2002c). This evaluation is also summarized in Part 3 of the Rad SSG *Technical Background Document*

(TBD) (EPA 2000b). For further information on selection of models appropriate to meet specific-site characteristics and requirements, readers can refer to *Ground-Water Modeling Compendium* (U.S. EPA 1994c), and *A Technical Guide to Ground-Water Model Selection at Sites Contaminated with Radioactive Substances* (U.S. EPA l994d). While these documents specifically address groundwater models, the model selection criteria and logic may be useful for other models as well.

Q17. How should Radon-222 (radon) and Radon-220 (thoron) exposures and risks be evaluated?

A. Radon-222 (Rn-222) and Radon-220 (Rn-220) are radioactive gases that are isotopes of the element radon (Rn). Each is produced by the radioactive decay of an isotope of radium (Ra). The parent radium isotope for Rn-222 (also called radon), is Ra-226 and the parent radium isotope for Rn-220 (also called thoron) is Ra-224. (Although thoron is produced from the radioactive decay of Ra-224, it is often referred to as a decay product of Ra-228, which is a longer-lived precursor typically measured in environmental samples.) Each radon isotope gives rise to a series or chain of short-lived radioactive decay products that emit alpha particles, which can damage lung tissues if inhaled. Of the two decay chains, the radon series is longer lived and more hazardous than the thoron series. Both decay chains are addressed by the same ARAR discussed below. Risk and dose assessments of radon and thoron concentrations at CERCLA remedial sites should be developed using the PRG and DCC calculators (U.S. EPA 2002a, 2004a, 2007, 2009a, 2010a, and 2010b).

Structures built on radium-contaminated soil or constructed with radium-bearing materials can accumulate elevated concentrations of radon and thoron in indoor air. Some radiation protection standards that may be potential ARARs at a site explicitly exclude dose or risk from radon and its decay products from consideration. Other potential ARARs directly address radon and its decay products (for example, under 40 CFR 192.12(b)(1) a standard of 0.03 working levels (WL) and a goal of 0.02 WL for allowable concentrations of radon decay products in indoor air).

Several EPA-approved methods are available for measuring radon and progeny concentrations in indoor air (EPA et al., Rev 1. 2000d). Because the indoor radon guidelines for homeowners are expressed in terms of picocuries per liter (pCi/L) of air, tools to address pCi/L are more prevalent than those to address WL. **For purposes of demonstrating compliance with the 0.02 WL Uranium Mill Tailings Radiation Control Act (UMTRCA) regulations as an ARAR, users may assume that either 5 pCi/L of Rn-222, or 7.5 pCi/L of Rn-220, corresponds to 0.02 WL.** Therefore 5 pCi/L of Rn-222 or 7.5 pCi/L of Rn-220 may be considered to be the concentration for complying with the UMTRCA indoor radon standard as an ARAR. These values are based on an indoor residential equilibrium fraction of 0.4 (40%) for Rn-222 and 0.02 (2%) for Rn-220. For the case of secular equilibrium, where the equilibrium fraction is 100%, the corresponding concentrations of Rn-222 and Rn-220 would be 2 pCi/L and 0.15 pCi/L respectively. The methodology for making this conversion is discussed on page 11 of the International Commission on Radiological Protection's (ICRP) guidance *Lung Cancer Risk from Radon and Progeny* (ICRP 2011). To adjust the indoor radon concentration to any given equilibrium fraction, the value for 0.02 WL at secular (100%) equilibrium is

divided by the appropriate equilibrium fraction. Thus, 2 pCi/L divided by 0.4 yields 5 pCi/L for Rn-222 and 0.15 pCi/L divided by 0.02 yields 7.5 pCi/L for Rn-220. This 40% value for Rn-222 is discussed on page 190 of the NAS Report *Health Effects of Exposure to Radon: BEIR VI* (NAS 1999). For Rn-220, the assumed equilibrium factor of 2% is discussed on page 206 of *Appendix E: Sources-to-effects assessment for radon in homes and workplaces* of the United Nations Report *Effects of Ionizing Radiation Volume II* (UNSCEAR 2006).

Computer codes have been developed to predict radon concentrations in indoor air and potential human exposure, based on simplified equations and assumptions; these models may yield results that are meaningful on average (e.g., for a geographical region) but highly imprecise for an individual house or structure. Despite their widespread use, these codes should be used with caution and their estimates interpreted carefully. Also, some states have their own radon testing and mitigation requirements that may be potential ARARs at a site (see Q38).

Q18. How long a time period should be considered for possible future exposures?

A. The PRG calculators include assumptions for the appropriate time period for generic land use exposure scenarios. Furthermore, in some cases, federal or state ARARs may include specific time-frame requirements for a given purpose, which is often a thousand years for dose-based standards. Several of the isotopes are listed with a "+E" designation. This designation indicates that the dose conversion factor (DCF) includes the contribution from ingrowth of daughter isotopes out to 1,000 years. As a result, the DCC calculators allow the selection of radionuclides with the +E designation, which provide a dose assessment based on the year of peak dose over 1,000 years since many standards that are potential ARARs specify this time-period for dose assessments. If the ARAR does not specify a time-period for assessment, users should use the +D designation for a radionuclide where the decay chain is in secular equilibrium. The +D designation indicates the contribution from ingrowth of daughter isotopes out to 100 years.

Q19. How should the results of the exposure assessment for radionuclides be presented?

A. Results of the exposure assessment for radionuclides should be presented with intake and external exposure estimates for use in risk characterization. If it is determined that there are dose-based standards that are ARARs at a CERCLA remedial site, then the intake and external exposure estimates should also be used for dose assessment.

Note that intake estimates for radionuclides should not be divided by body weight or averaging time as is done for chemical contaminants, because the radionuclide slope factors and dose conversion factors are age averaged, which accounts for average body weight in the United States population over different ages and the risk or dose is dependent upon the total exposure not the time period over which it occurs. Intake estimates for inhalation or ingestion pathways should include the total activity of each radionuclide inhaled or ingested via each pertinent route of exposure (e.g., ingestion of

contaminated drinking water, direct ingestion of contaminated soil, ingestion of contaminated produce, milk, or meat). Measured or predicted external exposure rates should be presented, along with the exposure time, frequency, and duration. The concentration of each radionuclide in the medium is needed to estimate the risk from the external pathway using slope factors.

III. TOXICITY ASSESSMENT

Q20. What is the mechanism of radiation damage?

A. Radiation emitted by radioactive substances can transfer sufficient localized energy to atoms to remove electrons from the electron cloud surrounding the nucleus (ionization). In living tissue, this energy transfer can produce chemically reactive ions or free radicals, destroy cellular constituents, and damage DNA. Improperly repaired DNA damage is thought to be a major factor in carcinogenesis. (While ionizing radiation may also cause other detrimental health impacts, only radiogenic cancer risk is normally considered in CERCLA risk assessments [see Q26].)

The type of ionizing radiation emitted by a particular radionuclide depends on the exact nature of the nuclear transformation, and may include emission of alpha particles, beta particles (electrons or positrons), and neutrons; each of these transformations may be accompanied by emission of photons (gamma radiation or X-rays). Each type of radiation differs in its physical characteristics and in its ability to inflict damage to biological tissue. The various types of radiation are often categorized as low linear energy transfer (LET) radiation (photons and electrons) and high-LET radiations (alpha particles and neutrons) for radiation risk and dose estimates.

Ionizing radiation can cause deleterious effects on biological tissues only when the energy released during radioactive decay is absorbed in tissue. The average energy imparted by ionizing radiation per unit mass of tissue is called the "absorbed dose." The SI unit of absorbed dose is the joule per kilogram, also assigned the special name the Gray (1 Gy = 1 joule/kg); the conventional unit of absorbed dose is the rad (1 rad = 100 ergs/g = 0.01 Gy).

Q21. What are radionuclide slope factors?

A. EPA has developed slope factors for estimating incremental cancer risks resulting from exposure to radionuclides via inhalation, ingestion, and external exposure pathways. Slope factors for radionuclides represent the probability of cancer incidence as a result of a unit exposure to a given radionuclide averaged over a lifetime using the linear no-threshold model. It is the age-averaged lifetime excess cancer incident rate per unit intake (or unit exposure for external exposure pathway) of a radionuclide (U.S. EPA 1989a).

EPA recommends the slope factors that are used in the PRG calculators for CERCLA remedial radiation risk estimates (U.S. EPA 2002a, 2007, and 2009a). Current radionuclide slope factors incorporate the age- and gender-specific radiogenic cancer risk models from *Federal Guidance Report No. 13: Cancer Risk Coefficients for*

Environmental Exposure to Radionuclides (U.S. EPA 1999c), which assume a maximum lifetime for an individual of 120 years, but incorporate competing causes of death over a 120 year lifetime.

Q22. What are radionuclide dose conversion factors?

A. Dose conversion factors (DCFs), or "dose coefficients", for a given radionuclide represent the dose equivalent per unit intake (i.e., ingestion or inhalation) or external exposure of that radionuclide. These DCFs are used to convert the amount of radionuclide externally exposed, ingested, or inhaled to a radiation dose from an environmental sample of modeled estimate of radionuclide concentration in soil, air, water, or foodstuffs. DCFs may be specified for specific body organs or tissues of interest, or as a weighted sum of individual organ dose, termed the effective dose equivalent. (These quantities are discussed further in Q23.) These DCFs may be multiplied by the total activity of each radionuclide inhaled or ingested per year, or the external exposure concentration to which a receptor may be exposed, to estimate the dose equivalent to the receptor.

EPA recommends the DCFs that are used in the DCC calculators for CERCLA remedial dose assessments (U.S. EPA 2004a, 2010a, and 2010b). The most up to date radionuclide DCFs in the current DCC calculators, ICRP 60, incorporate age- and gender-specific models and are from the CD supplement to *Federal Guidance Report No. 13: Cancer Risk Coefficients for Environmental Exposure to Radionuclides* (U.S. EPA 1999c).

Q23. What is dose equivalent, effective dose equivalent, and related quantities?

A. As discussed in Q20, different types of radiation have differing effectiveness in transferring their energy to living tissue. Since it is often desirable to compare doses from different types of radiation, the quantity "dose equivalent," or "equivalent dose," has been defined as a measure of the energy absorbed by living tissues, adjusted for the type of radiation present. The SI unit for dose equivalent is the Sievert (Sv) and the conventional unit is the rem (1 rem = 0.01 Sv). The absorbed dose is multiplied by Quality Factor (Q) or radiation weighting factor (w_R) to compute dose equivalent; these values range from 1 for photons and electrons to 10 for neutrons to 20 for alpha particles. For an equal amount of energy absorbed, an alpha particle will inflict approximately 20 times more damage to biological tissue than that inflicted by a beta particle or gamma ray. Internally deposited (inhaled or ingested) radionuclides may be deposited in various organs and tissues long after initial deposition. The "committed dose equivalent" is defined as the integrated dose equivalent that will be received by an individual during a 50-year period following the intake. By contrast, external radiation exposure contributes to dose only as long as the receptor is present within the external radiation field.

When they are exposed to equal doses of radiation, different organs and tissues in the human body will exhibit different cancer induction rates. The quantity "effective dose equivalent," or "effective dose," was developed by the International Commission on Radiological Protection (ICRP) to account for these differences and to normalize radiation

doses and effects on a whole body basis for regulation of occupational exposure. The effective dose equivalent is computed as a weighted sum of organ-specific dose equivalent values, with weighting factors specified by the ICRP (ICRP 1977, 1979). The effective dose equivalent is equal to that dose equivalent, delivered at a uniform whole-body rate, that corresponds to the same number (but possibly dissimilar distribution) of fatal stochastic health effects as the particular combination of organ dose equivalents.

Q24. What is the critical organ approach to dose limitation?

A. Regulatory standards developed by EPA and the Nuclear Regulatory Commission (NRC) that use the critical organ approach usually consist of a combination of whole body and critical organ dose limits, such as 25 mrem/yr to the whole body, 75 mrem/yr to the thyroid, and 25 mrem/yr to any critical organ other than the thyroid. For example, EPA's uranium fuel cycle rule, 40 CFR 190.10(a); NRC's low level waste rule, 10 CFR 61.41; and EPA's management and storage of high level waste by NRC and agreement states rule, 40 CFR 191.03(a), use this "25/75/25 mrem/yr" dose limit approach. EPA's management and storage of high level waste by U.S. Department of Energy (DOE) rule, 40 CFR 191.03(b), is expressed as 25 mrem/yr to the whole body and 75 mrem/yr to any critical organ (including the thyroid). When these standards were adopted, dose was calculated and controlled for each organ in the body and uniform radiation of the "whole body." The "critical organ" was the organ that received the most dose for the radionuclide concerned. With the adoption of the dose equivalent concept, the dose to each organ is weighted according to the effect of the radiation on the overall system (person). The new dose system for the EPA and NRC regulations allows for one value of dose equivalent (see Q 23) to be assigned as a limit, which is protective of the entire system. The critical organ approach required individual limits for each organ based on the effect of radiation on that organ.

It should be noted that although most critical organ standards include 25 mrem/yr or higher (for example, 75 mrem/yr to the thyroid) dose limits, these critical organ standards are not comparable to 25 mrem/yr effective dose equivalent standards or guidance. EPA has determined that for Superfund remedial sites a 25 mrem/yr effective dose equivalent level should not be used for the purposes of establishing cleanup levels at CERCLA remedial sites (see 1997a). This determination does not apply to critical organ standards (see 1997a). For further discussion of EPA's comparison of critical organ and effective dose equivalent limits see pages 4-5 of Attachment B to EPA 1997a. The DCC, BDCC, and SDCC calculators are not intended for demonstrating compliance with ARARs using the critical organ dose approach based on ICRP 2.

Q25. How should radionuclide slope factors and dose conversion factors be used?

A. **EPA recommends that radionuclide slope factors be used to estimate the excess cancer risk resulting from exposure to radionuclides at radiologically contaminated sites, consistent with the NCP's risk range (10^{-4} to 10^{-6} lifetime excess cancer risk) for CERCLA remedial responses.** The incremental risk generally is calculated by multiplying the estimates of chronic daily intake over a lifetime by a

slope factor that is appropriate for the exposure route (ingestion, inhalation and external exposure) and media (e.g., soil, food and water) of concern.

Cancer risk from radionuclide exposures may also be estimated by multiplying the effective dose equivalent computed using the dose conversion factors (DCFs) by a risk-per-dose factor. Some key differences in the two cancer risk methods are summarized in Table 2.

The primary use of DCFs by the Superfund remedial program generally should be to compute doses resulting from site-related exposures for comparison with radiation protection standards (see Q32 and 33) that are determined to be ARARs. This can be accurately accomplished by multiplying the estimates of annual chronic daily intake by a dose conversion factor that is appropriate for the exposure route (ingestion, inhalation and external exposure) and media (e.g., soil, food and water) of concern.

At Superfund remedial responses, excess cancer risk generally represents cumulative lifetime cancer morbidity risk from a multi-year exposure period (e.g., 30 years of exposure for residential scenario). In contrast, when complying with most dose-based standards that are considered to be ARARs at CERCLA remedial responses, the dose limits are typically expressed in terms of annual exposure (for example, the effective dose equivalent resulting from exposure during a 1-year period, mrem/year).

DCFs from the default settings in the latest versions of the DCC, BDCC, and SDCC calculators (U.S. EPA 2004a, 2010a, and 2010b) should be used for complying with ARARs based on effective dose equivalent, while DCFs from ICRP 2 should be used when complying with ARARs based on the critical organ approach. There are some potential ARARs (for example, the maximum contaminant levels [MCLs] for beta and photon emitters) that specify in the text of the regulation itself which DCFs should be used.

Q26. In addition to cancer, should the potential teratogenic and genetic effects of radiation exposures be considered?

A. Biological effects associated with exposure to ionizing radiation in the environment may include carcinogenicity (induction of cancer), mutagenicity (induction of mutations in somatic or reproductive cells, including genetic effects), and teratogenicity (effects on the growth and development of an embryo or fetus). Agency guidance (U.S. EPA 1989a, 1994b) indicates that the radiogenic cancer risk is normally assumed to be limiting for risk assessments at Superfund remedial sites, and evaluation of teratogenic and genetic effects is not required. Similarly, consideration of acute effects at CERCLA remedial sites generally is not required, since these effects occur only at doses much higher than those normally associated with environmental exposures.

Table 2. Comparison of Radiation Risk Estimation Methodologies: Slope Factors vs. Effective Dose Equivalent

Parameter	Slope Factor Approach	Effective Dose Equivalent (EDE) x Risk Factor Approach
Competing Risks	• Persons dying from competing causes of death (such as disease, accidents) are not considered susceptible to radiogenic cancer. • Probability of dying at a particular age from competing risks is considered based on the mortality rate from all causes at that age in the 1989 to 1991 (previously 1979 to 1981) U.S. population.	• Competing risks not considered.
Risk Models	• Age-dependent and gender-dependent risk models for 14 cancer sites are considered individually and integrated into the slope factor estimate.	• Risk estimate averaged over all ages, sexes, and cancer sites.
Genetic Risk	• Genetic risk is not considered in the slope factor estimates; however, ovary is considered as a potential cancer site.	• EDE value includes genetic risk component.
Dose Estimates	• Low-LET and high-LET dose estimates considered separately for each target organ.	Dose-equivalent includes both low-LET and high-LET radiation, multiplied by appropriate Quality Factors.
RBE for high- LET (alpha) radiation	• 20 for most sites (8 prior to 1994) • 10 for breast (8 prior to 1994) • 1 for leukemia (1.117 prior to 1994)	• 20 (all sites)
Organs Considered	• Estimates of absorbed dose to 16 target organs/tissues considered for 13 specific cancer sites plus residual cancers.	• EDE (ICRP, 1979) considers dose estimates to six specific target organs plus remainder (weighted average of five other organs).
Lung Dose Definition	• Absorbed dose used to estimate lung cancer risk computed as weighted sum of dose to tracheobronchial region (80%) and pulmonary lung (20%).	• Average dose to total lung (mass weighted sum of doses to the tracheobronchial region, pulmonary region, and pulmonary lymph nodes).
Integration Period	• Variable length (depending on organ-specific risk models and consideration of competing risks) not to exceed 110 years.	• Fixed integration period of 50 years typically considered.
Dosimetric / Metabolic Models	• Metabolic models and parameters for dose estimates follow recent recommendations of the ICRP series of documents on age-specific dosimetry (ICRP, 1989, 1993, 1995a, 1995b), where available; previous estimates based primarily on ICRP 30 (ICRP, 1979).	• Typically employ ICRP Publication 30 (ICRP 1979) models and parameter for radionuclide uptake, distribution, and retention.

Q27. Should chemical toxicity of radionuclides be considered?

A. At Superfund remedial program radiation sites, EPA generally evaluates potential human health risks based on the radiotoxicity (the adverse health effects caused by ionizing radiation), rather than on the chemical toxicity, of each radionuclide present. Uranium, in soluble form, is a kidney toxin at mass concentrations slightly above background levels. It is the only radionuclide for which the chemical toxicity has been identified to be comparable to or greater than the radiotoxicity and for which an oral reference dose (RfD) has been established to evaluate chemical toxicity. To properly evaluate human health risks, both effects (radiogenic cancer risk and chemical toxicity) should be considered for radioisotopes of uranium. When risk estimates will be made of the chemical toxicity of uranium, EPA recommends using the *Regional Screening Levels for Chemical Contaminants at Superfund Sites* (RSL) calculator (U.S. EPA 2008) for uranium in soil, water and air and the equations in (U.S. EPA 2003) for uranium in dust inside of buildings. The RSL calculator is frequently updated.

IV. RISK CHARACTERIZATION

Q28. How should radionuclide risks be estimated?

A. At Superfund remedial sites, risks from radionuclide exposures should be estimated in a manner analogous to that used for chemical contaminants. The estimates of intake by inhalation and ingestion and the external exposure over the period of exposure estimated for the land use (e.g., 30 years residential, 25 years commercial/industrial) from the exposure assessment should be coupled with the appropriate slope factors for each radionuclide and exposure pathway. Only excess cancer risk should be considered for most radionuclides (except for uranium, as discussed in Q27). The total incremental lifetime cancer risk attributed to radiation exposure is estimated as the sum of the risks from all radionuclides in all exposure pathways.

Q29. Should radionuclide and chemical risks be combined?

A. Generally, yes. At CERCLA remedial sites, excess cancer risk from both radionuclides and chemical carcinogens should be summed to provide an estimate of the combined risk presented by all carcinogenic contaminants as specified in OSWER directive 9200.4-18 (U.S. EPA 1997a). An exception would be cases in which a person reasonably cannot be exposed to both chemical and radiological carcinogens; Regions should include specific supporting data and information in the administrative record to document this conclusion. Similarly, the chemical toxicity from uranium should be combined as appropriate with that of other site-related contaminants. As recommended in *RAGS* Part A (U.S. EPA 1989a), risk estimates for radionuclides and chemical contaminants also should be tabulated and presented separately in the risk characterization report.

There are generally several differences between slope factors for radionuclides and chemicals. However, similar differences also occur between different chemical slope factors. **In the absence of additional information, it is reasonable to assume that**

excess cancer risks are additive for evaluating the total incremental cancer risk associated with a contaminated site.

Q30. How should risk characterization results for radionuclides be presented?

A. Results should be presented according to the standardized reporting format presented in *RAGS* Part D (U.S. EPA 1998a). EPA guidance for risk characterization (U.S. EPA 1995a, 1995b) indicates that four descriptors of risk are generally needed for a full characterization of risk: (1) central tendency (such as median, mean) estimate of individual risk; (2) high-end estimate (for example, the 95th percentile) of individual risk; (3) risk to important subgroups of the population, such as highly exposed or highly susceptible groups (such as children) or individuals, if known; and (4) population risk. The reasonable maximum exposure (RME) estimate of individual risk typically presented in Superfund risk assessments represents a measure of the high-end individual exposure and risk. While the RME estimate remains the primary scenario for Superfund risk management decisions, additional risk descriptors may be included to describe site risks more thoroughly (e.g., central tendency, sensitive subpopulations). Population risk is generally not used as part of Superfund risk assessments.

Q31. Is it necessary to present the collective risk to populations estimated along with that to individual receptors?

A. Generally, no. Risk to potential RME individual receptors generally is the primary measure of protectiveness under the CERCLA remedial process (the target range of 10^{-6} to 10^{-4} lifetime excess cancer risk to the RME receptor). As noted in Q30, however, Agency guidance (U.S. EPA 1995a, 1995b) also indicates that the central tendency risk to the potentially exposed population may be evaluated where possible. Consideration of central tendency risk may provide additional input to risk management decisions; such considerations may be either qualitative or quantitative, depending on the availability of data.

Q32. How should uncertainty in estimates of radiation risk be addressed in the risk characterization report?

A. Consideration of uncertainty in estimates of risks from potential exposure to radioactive materials at CERCLA sites typically is an essential element of informed risk management decisions. *RAGS* and subsequent guidance (U.S. EPA 1995a, 1995b) stress the importance of a thorough presentation of the uncertainties, limitations, and assumptions that underlie estimates of risk. Either qualitative or quantitative evaluation may be appropriate, depending on the availability of data and the magnitude of predicted risk. In either case, the evaluation should address both uncertainty ("the lack of knowledge about specific factors, parameters, or models") and variability ("observed differences attributable to true heterogeneity or diversity in a population or exposure parameter"). Estimates of potential risk should include both central tendency estimates (median, mean) and high-end estimates (such as RME or 95th percentile).

Extrapolation from high dose and dose rate exposure is generally done to estimate risks of low-level exposures for both chemical carcinogens and radionuclides. This extrapolation typically constitutes the greatest source of uncertainty. Additional uncertainty may be introduced due to extrapolation of animal data to humans for chemical carcinogens. Slope factors for both radionuclides and chemicals are used to estimate incremental cancer risk, which typically represents a small increment over a relatively high baseline incidence. It should be noted that there is less uncertainty associated with the slope factors for radionuclides than any, or almost any, chemical slope factors since the radionuclide slope factors are based primarily on human rather than animal data. Other sources of uncertainty may be associated with instrumentation and measurements used to characterize the nature and extent of radionuclides of concern, and the parameters used to characterize potential exposures of current and future receptors (such as intake rates and frequency of exposure).

Probabilistic Risk Assessment (PRA) may be used to provide quantitative estimates of the uncertainties in the risk assessment. However, probabilistic estimates of risk should be presented as a supplement to, not instead of, the deterministic (point estimate) methods outlined in *RAGS* Part A. A tiered approach is often useful, with the rigor of the analysis depending on the magnitude of predicted risk. Factors to be considered in conducting a probabilistic analysis typically should include the sensitivity of parameters, the correlation or dependencies between parameters, and the distributions of parameter values and model estimates. Detailed guidance on this topic is provided in *Use of Probabilistic Techniques (Including Monte Carlo Analysis) in Risk Assessment* (U.S. EPA 1997c) and *Guiding Principles for Monte Carlo Analysis* (U.S. EPA 1997d).

Q33. When should a dose assessment be performed?

A. Dose assessments should be conducted during CERCLA remedial responses only when considering compliance of clean up plans with dose-based ARARs. As discussed in OSWER Directive 9200.4-18 (U.S. EPA 1997a), cleanup levels for radioactive contamination at remedial sites should be established as they would for any chemical that poses an unacceptable risk and the risks should be characterized in standard Agency risk language consistent with CERCLA guidance for remedial sites. Thus, c**leanup levels not based on an ARAR should be based on the carcinogenic risk range (generally 10^{-4} to 10^{-6}, with 10^{-6} as the point of departure and 1×10^{-6} used for PRGs) and expressed in terms of risk (# x $10^{-\#}$).**

Q34. What is the upper end of the risk range with respect to radionuclides?

A. Consistent with existing Agency guidance for the CERCLA remedial program, while the upper end of the risk range is not a discrete line at 1×10^{-4}, EPA generally uses 1×10^{-4} in making risk management decisions. A specific risk estimate around 10^{-4} may be considered acceptable based on site-specific circumstances. For further discussion of these points and how EPA uses the risk range, see OSWER Directive 9355.0-30, *Role of the Baseline Risk Assessment in Superfund Remedy Selection Decisions* (U.S. EPA 1991d). In general, dose assessment used as a method to assess risk is not recommended as a way of ensuring protectiveness of human health at CERCLA remedial sites.

Q35. Should the ARAR protectiveness criteria evaluation recommendation be changed from 15 mrem/yr to reflect the updates to radiation risk estimates contained in Federal Guidance Report 13?

A. **Yes**, **ARAR protectiveness criteria evaluation recommendation of 15 mrem/yr should be changed to 12 mrem/yr to reflect the current federal government position on the risks posed by radiation, which is contained in EPA's Federal Guidance Report 13 (U.S. EPA 1999c)**. More recent scientific information reflected in EPA's Federal Guidance Report 13 risk estimates show that 12 mrem/yr is now considered to correspond approximately to 3×10^{-4} excess lifetime cancer risk. This *updated approach is based on FGR 13's assumption of a risk of cancer incidence* of 8.46×10^{-4} per rem of exposure (while still using the EPA CERCLA standard period of exposure of 30 years for residential land use, which also was the basis of the 15 mrem/yr determination in OSWER Directive 9200.4-18). Therefore, the ARAR evaluation guidance first discussed in OSWER Directive 9200.4-18 is being updated to 12 mrem/yr so that ARARs that are greater than 12 mrem/yr effective dose equivalent (EDE) are generally not considered sufficiently protective for developing cleanup levels under CERCLA at remedial sites. As before, this ARAR evaluation tool should not be used as a to be considered (TBC) as a basis for establishing 12 mrem/yr cleanup levels at CERCLA remedial sites.

Please note that the prior references to 15 mrem/yr in OSWER Directive 9200.4-18 were intended as guidance for the evaluation of potential ARARs and TBCs factors and **should not be used as a TBC for establishing 15 mrem/yr cleanup levels at CERCLA sites**. Consistent with that guidance, using 15 mrem/yr as an ARAR evaluation tool originally was based on three factors:

1. The CERCLA risk range for remedial sites. In 1997, 15 mrem/yr was estimated to correspond to approximately 3×10^{-4} under the then EPA practice of using the dose to risk estimate conversions assumption of a risk of cancer incidence of 7.6×10^{-4} per rem of exposure, found in ICRP 1991 and NAS 1990. This dose to risk estimate has been superseded by the assumption of a risk of cancer incidence of 8.46×10^{-4} per rem of exposure in FGR 13 (U.S. EPA 1999c).
2. Prior EPA radiation rulemakings, and
3. Prior EPA CERCLA site-specific decisions.

Q36. Should dose recommendations from other federal agencies be used to assess risk or establish cleanup levels?

A. Generally, no. **Dose assessments generally should only be performed to assess risks or to establish cleanup levels at CERCLA remedial sites** to show compliance with an ARAR that requires a dose assessment (for example 40 CFR 61 Subparts H and I, and 10 CFR 61.41). Dose level recommendations from international and other non-EPA organizations are not enforceable and therefore cannot be ARARs. The selection of cleanup levels for carcinogens for CERCLA remedy selection purposes should be consistent with the NCP and CERCLA guidance – i.e., based on the risk range when

ARARs are not available or are not sufficiently protective. EPA has made the policy decision to use the NCP's risk range in developing cleanup levels for radionuclides at CERCLA remedial sites rather than using dose-based guidance since the use of dose-based guidance. See Q10 for more information on this determination.

EPA recommends using the DCC, BDCC, and SDCC calculators (U.S. EPA 2004a, 2010a, and 2010b) to develop dose assessments for ARAR compliance purposes at Superfund remedial sites. As indicated on page 2 of the memorandum transmitting the DCC calculator (U.S. EPA 2004c), that guidance superseded the dose assessment equations in Chapter 10 of *RAGs* Part A (U.S. EPA 1989a).

Q37. How and when should exposure rate be used to estimate radionuclide risks?

A. **As discussed previously (see Q25 and Q28), EPA recommends that estimates of radiation risk should be derived using slope factors, in a manner analogous to that used for chemical contaminants.** However, to ensure protectiveness of human health consistent with CERCLA and the NCP requirements for the remedial program, there may be circumstances where it is desirable at CERCLA remedial sites to also consider estimates of risk based on direct exposure rate measurements of penetrating radiation in addition to risk estimates based on slope factors. Examples of such circumstances where it may be appropriate to also use direct measurements for assessing risk from external exposure to penetrating radiation include:

- During early site assessment efforts when the site manager is attempting to communicate the relative risk posed by areas containing elevated levels of radiation,

- As a real-time method for indicating that remedial objectives are being met during the conduct of the response action. The use of exposure rate measurements during the conduct of the response actions should not decrease the need for a final status survey.

To facilitate developing risk estimates under any of these situations, EPA is developing a Counts Per Minute (CPM) calculator (U.S. EPA 2014a) to model correlations in exposure rate measurements back to modeled estimates of cancer risk. Direct radiation exposure rate measurements may provide important indications of radiation risks at a site, particularly during early investigations, when these may be the first data available. However, these data may reflect only a subset of the radionuclides and exposure pathways of potential concern (for example, only external exposure from gamma-emitting radionuclides in near-surface soil), and may present an incomplete picture of site risks (such as risk from internal exposures, or potential increased future risks from radionuclides in subsurface soils). In most cases, more accurate estimation of radiation risks will require additional site characterization data, including concentrations of all radionuclides of concern in all pertinent environmental media. The principal benefit of using direct exposure rate measurements is the speed and convenience of analysis, and reducing the potential for missing areas of contamination. **However, exposure rate data generally should be used in conjunction with characterization data of radionuclides concentrations**

in environmental media to obtain a complete picture of potential site-related risks. Exposure rate measurements scanned in the field should be correlated with samples analyzed in a laboratory by collocating them to ensure that modeled assumptions about the correlation between exposure rate and sample concentrations are accurate. For a general discussion on radiation survey instruments, readers are directed to *Real-Time Measurement of Radionuclides in Soil: Technology and Case Studies* document (ITRC 2006), *Real-Time Measurement of Radionuclides in Soil* on-line training course (ITRC 2008), *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM) (U.S. EPA et al. Rev 1. 2000d) and Chapter 10 of *RAGs* Part A (U.S. EPA 1989a).

Q38. What radiation standards may be applicable or relevant and appropriate requirements (ARARs)?

A. In some cases, cleanup levels may be derived based on site-specific risk assessments, ARARs, and/or to-be-considered materials (TBCs). TBCs are non-promulgated advisories or guidance issued by Federal or State governments that are not legally binding and do not have the status of potential ARARs. However, TBCs will be considered along with ARARs as part of the site risk assessment and may be used in determining the necessary level of cleanup for protection of health and the environment. Attachment A, "Likely Federal Radiation Applicable or Relevant and Appropriate Requirements (ARARs)," of OSWER Directive 9200.4-18 (U.S. EPA 1997a) provides information regarding the circumstances in which federal standards that have often been selected as ARARs may be either applicable or relevant and appropriate for particular site-specific conditions. The 1997 guidance (U.S. EPA 1997a) should be consulted for further direction. For more general information ARARs and TBCs see the *CERCLA Compliance with Other Laws Manual* (U.S. EPA 1989d).

OSWER Directive 9200.4-25, *Use of Soil Cleanup Criteria in 40 CFR Part 192 as Remediation Goals for CERCLA Sites* (U.S. EPA 1998c), provides more detailed discussion on the use of the concentration limits for radium and/or thorium in subsurface soils.

V. ECOLOGICAL ASSESSMENTS

Q39. What guidance is available for conducting ecological risk assessments?

A. EPA is developing a Radiological Ecological Benchmark (REB) (U.S. EPA 2014b) calculator that will be designed to develop concentrations protective of biota from radioactivity at CERCLA sites. In addition, existing EPA guidance (OSWER Directive 9285.7-25, *Ecological Risk Assessment Guidance for Superfund: Process for Designing and Conducting Ecological Risk Assessments,* U.S. EPA 1997e) is intended to facilitate defensible and appropriately scaled site-specific ecological risk assessments at CERCLA sites. This guidance is not intended to dictate the scale, complexity, protocols, data needs, or investigation methods for such assessments. Professional judgment is required to apply the process outlined in this guidance to ecological risk assessments at specific sites. This guidance is supplemented by the guidance *Ecological Risk Assessment and Risk Management Principle for Superfund Sites* (U.S. EPA 1999b). Typical exposure

pathways for ecological risk assessments are in Figure 3. Each of the illustrations in Figure 3 is expected to appear in the forthcoming guidance, together with explanatory text in the user guide for the ecological calculator (U.S. EPA 2014b).

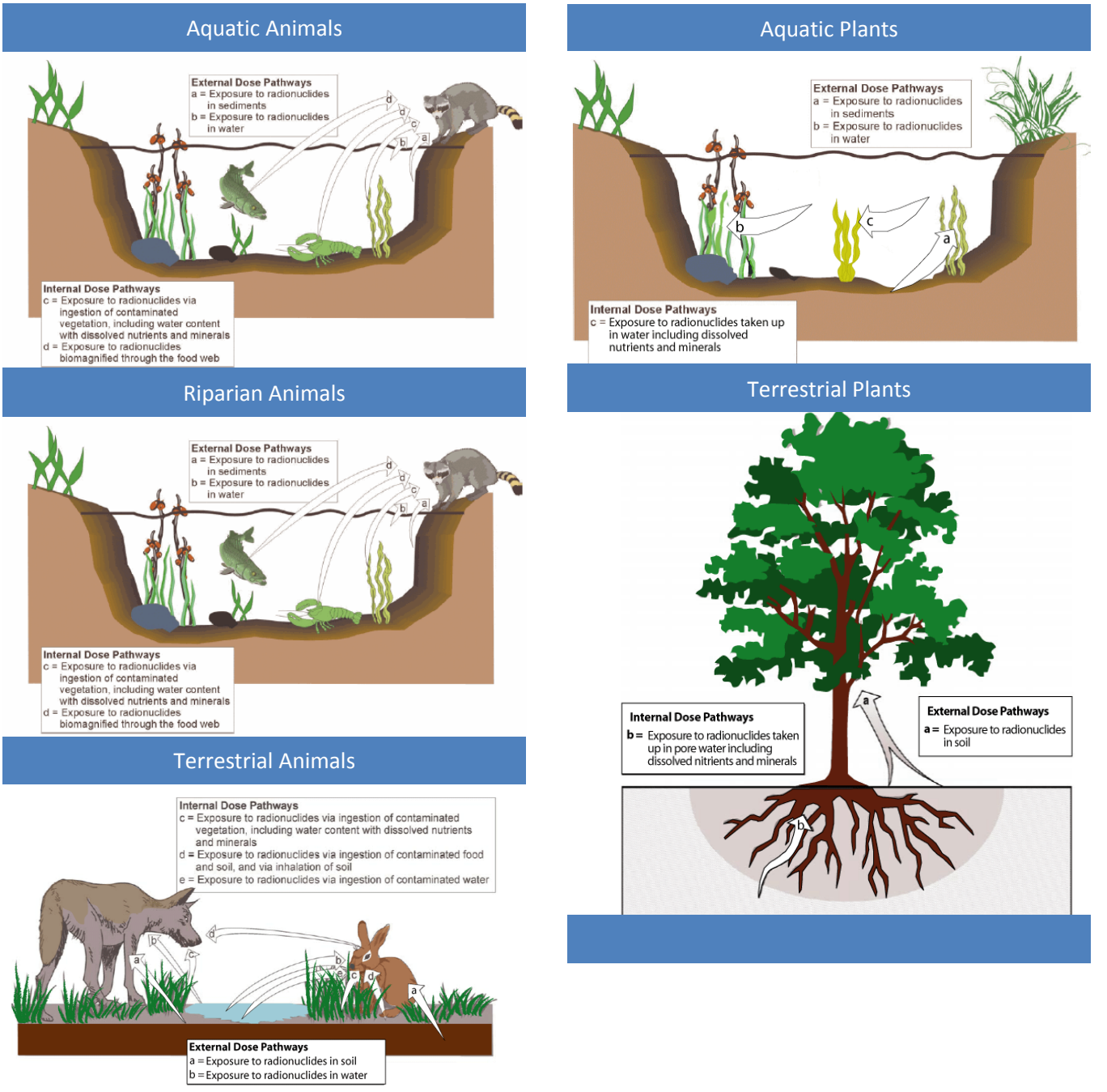
VI. BACKGROUND RADIATION

Q40. How should background levels of radiation be addressed?

A. Background radiation levels at a specific site generally should be determined the same way background levels are determined for other contaminants: on a radionuclide and site-specific basis when the same constituents are found in on-site samples as well as in background samples. The levels of each constituent of potential concern at a site typically are compared with background levels of those constituents to determine whether site activities have resulted in elevated levels. For example, background levels for radium-226 and radon-222 would generally not be relevant at a site if these radionuclides were not site-related contaminants. Remedial site risk-based cleanup levels for individual radionuclides generally are not set below site-specific background levels. When background levels exceed the remedial risk range, background levels may be selected as the cleanup levels. It should be noted that some ARARs specifically address how to factor background into cleanup levels. For example, many radiation standards are increments above background levels, while the indoor radon standards under 40 CFR 192.12(b)(1) are inclusive of background.

For further information regarding background, see the *Role of Background in the CERCLA Cleanup Program* (U.S. EPA 2002b) and the section "Background Contamination" in OSWER Directive 9200.4-18 (U.S. EPA 1997a).

Figure 3. Typical Radionuclide Exposure Pathways for Biota



WHERE TO GO FOR FURTHER INFORMATION

Readers should periodically consult the EPA Superfund Radiation webpage for updates on current guidance and for copies of new documents at this address: http://www.epa.gov/superfund/health/contaminants/radiation/index.htm.

Radiation and radioactive materials pose special hazards and require specialized detection instrumentation, techniques and safety precautions. EPA strongly encourages RPMs and risk assessors to consult with individuals trained and experienced in radiation measurements and protection. These individuals include health physicists and radiochemists who can provide additional assistance in designing and executing radionuclide sampling and analysis plans and interpreting radioanalytical results.

The subject matter specialist for this fact sheet is Stuart Walker of OSRTI. He can be reached by e-mail at walker.stuart@epa.gov or by telephone at (703) 603-8748.

REFERENCES

International Atomic Energy Agency (IAEA). 1992. *Effects of Ionizing Radiation on Plants and Animals at Levels Implied by Current Radiation Protection Standards.* IAEA Technical Report Series No. 332.

International Commission on Radiological Protection (ICRP). 1977. *Recommendations of the ICRP.* ICRP Publication 26. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1979. *Limits for Intakes of Radionuclides by Workers.* ICRP Publication 30. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1989. *Age-Dependent Doses to Members of the Public from Intake of Radionuclides, Part 1.* ICRP Publication 56. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1991. *1990 Recommendations of the International Commission on Radiological Protection.* ICRP Publication 60. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1993. *Age-Dependent Doses to Members of the Public from Intake of Radionuclides, Part 2.* ICRP Publication 67. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1995a. *Age-Dependent Doses to Members of the Public from Intake of Radionuclides, Part 3.* ICRP Publication 69. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 1995b. *Age-Dependent Doses to Members of the Public from Intake of Radionuclides, Part 4.* ICRP Publication 71. Pergamon Press, Oxford, UK.

International Commission on Radiological Protection (ICRP). 2011. *Lung Cancer Risk from Radon and Progeny.* ICRP Publication 115. http://www.elsevier.com/wps/find/bookdescription.cws_home/726672/description#description

Interstate Technology Regulatory Council (ITRC) 2006. *Real-Time Measurement of Radionuclides in Soil: Technology and Case Studies* http://www.itrcweb.org/Documents/RAD_4Web.pdf

Interstate Technology Regulatory Council (ITRC) 2007. *Radiation Risk Assessment: Update and Tools* http://www.clu-in.org/conf/itrc/rads_051507/

Interstate Technology Regulatory Council (ITRC) 2008a. *Real-Time Measurement of Radionuclides in Soil* http://www.clu-in.org/conf/itrc/radsrealtime_102808/

Interstate Technology Regulatory Council (ITRC) 2008b. *Decontamination and Decommissioning of Radiologically-Contaminated Facilities* http://www.clu-in.org/conf/itrc/radsdd_040308/

National Academy of Sciences (NAS). 1980. *The Effects on Populations of Exposure to Low Levels of Ionizing Radiation: 1980.* National Research Council, Committee on the Biological Effects of Ionizing Radiation (BEIR III). National Academy Press, Washington, DC.

National Academy of Sciences (NAS). 1988. *Health Effects of Radon and Other Internally Deposited Alpha-Emitters* National Research Council, Committee on the Biological Effects of Ionizing Radiation (BEIR IV). National Academy Press., Washington, DC.

National Academy of Sciences (NAS). 1990. *Health Effects of Exposure to Low Levels of Ionizing Radiation.* National Research Council, Committee on the Biological Effects of Ionizing Radiation (BEIR V). National Academy Press., Washington, DC.

National Academy of Sciences (NAS). 1999. *Health Effects of Exposure to Radon.* National Research Council, Committee on the Health Effects of Exposure to Radon (BEIR VI). National Academy Press., Washington. DC. http://books.nap.edu/openbook.php?isbn=0309056454

National Council on Radiation Protection and Measurements (NCRP). 1976. *Environmental Radiation Measurements.* NCRP Report No. 50. Bethesda, MD.

National Council on Radiation Protection and Measurements (NCRP). 1978. *Instrumentation and Monitoring Methods for Radiation Protection.* NCRP Report No. 57. Bethesda, MD.

National Council on Radiation Protection and Measurements (NCRP). 1987. *Exposure of the Population in the United States and Canada from Natural Background Radiation.* NCRP Report No. 94. Bethesda, MD.

Stewart, R, N. and S. T. Purucker. 2011. "An Environmental Decision Support System for Spatial Assessment and Selective Remediation," Environmental Modeling & Software 26(6): 751-760. http://www.sadaproject.net/

United Nations Scientific Committee on the Effects of Atomic Radiation (UNSCEAR). 1988. *Sources, Effects and Risks of Ionizing Radiation.* United Nations, NY.

United Nations Scientific Committee on the Effects of Atomic Radiation (UNSCEAR). 2006. *Effects of Ionizing Radiation. Volume II: Scientific Annexes C, D, and E.* United Nations, NY. http://www.unscear.org/unscear/en/publications/2006_2.html

U.S. EPA. 1980. *Upgrading Environmental Data: Health Physics Society Committee Report HPSR-1 (1980).* Office of Radiation Programs, Washington, DC.

U.S. EPA. 1984. *EERF Radiochemistry Procedures Manual.* EPA 520/5-84-006. Office of Radiation Programs, Eastern Environmental Radiation Facility, Montgomery, AL.

U.S. EPA. 1988a. *Guidance for Conducting Remedial Investigations and Feasibility Studies under CERCLA.* EPA/540/G-89/004. Office of Radiation Programs, Washington, DC. http://rais.ornl.gov/documents/GUIDANCE.PDF

U.S. EPA. 1988b. *Limiting Values of Radionuclide Intake and Air Concentration and Dose Conversion Factors for Inhalation, Submersion, and Ingestion: Federal Guidance Report No. 11.* EPA-520/1-88/020. Office of Radiation Programs, Washington, DC. http://www.epa.gov/rpdweb00/docs/federal/520-1-88-020.pdf

U.S. EPA. 1989a. *Risk Assessment Guidance for Superfund, Volume 1: Human Health Evaluation Manual, Part A, Interim Final.* EPA/540/1-89/002. Office of Emergency and Remedial Response, Washington, DC. NTIS PB90-155581/ CCE. http://www.epa.gov/oswer/riskassessment/ragsa/pdf/rags-vol1-pta_complete.pdf

U.S. EPA. 1989b. *Methods for Evaluating the Attainment of Soil Cleanup Standards. Volume 1: Soils and Solids.* EPA/540/1-89/003. Statistical Policy Branch, Office of Policy, Planning, and Evaluation, Washington, DC. http://www.clu-in.org/download/stats/vol1soils.pdf

U.S. EPA. 1989c. *Risk Assessment Methodology: Environmental Impact Statement-NESHAPs for Radionuclides, Background Information Document-Volume 1.* EPA-520/1-89-006-1. Office of Radiation Programs, Washington, DC. http://www.epa.gov/rpdweb00/docs/neshaps/subpart-w/historical-rulemakings/risk-assessments-methodology-eis-neshaps-for-radionuclides.pdf

U.S. EPA. 1989d. *Exposure Factors Handbook.* EPA/600/8-89/043. Office of Health and Environmental Assessment, Washington, DC. http://rais.ornl.gov/documents/EFH_1989_EPA600889043.pdf

U.S. EPA. 1989e. *CERCLA Compliance with Other Laws Manual: Interim Final.* EPA/540/G-89/006. Office of Emergency and Remedial Response, Washington, DC. http://www.epa.gov/superfund/policy/remedy/pdfs/540g-89006-s.pdf

U.S. EPA. 1991a. *Risk Assessment Guidance for Superfund, Volume 1: Human Health Evaluation Manual (Part B, Development of Risk-Based Preliminary Remediation Goals).* Publication 9285.7-01B. Office of Emergency and Remedial Response, Washington, DC. NTIS PB92-963333. http://www.epa.gov/oswer/riskassessment/ragsb/index.htm

U.S. EPA. 1991b. *Risk Assessment Guidance for Superfund, Volume 1: Human Health Evaluation Manual (Part C, Risk Evaluation of Remedial Alternatives).* Interim. OSWER Directive 9285.7-01C. Office of Emergency and Remedial Response, Washington, DC. http://www.epa.gov/oswer/riskassessment/ragsc/index.htm

U.S. EPA. 1991c. *Human Health Evaluation Manual, Supplemental Guidance: Standard Default Exposure Factors.* OSWER 9285.6-03. Office of Emergency and Remedial Response, Washington, DC. NTIS PB91-921314. http://epa-prgs.ornl.gov/chemicals/help/documents/OSWERdirective9285.6-03.pdf

U.S. EPA. 1991d. *Role of the Baseline Risk Assessment in Superfund Remedy Selection Decisions.* OSWER Directive 9355.0-30. Office of Solid Waste and Emergency Response. http://www.epa.gov/oswer/riskassessment/pdf/baseline.pdf

U.S.EPA. 1992a. *Statistical Methods for Evaluating the Attainment of Cleanup Standards-Volume 2: Ground Water.* Draft. Statistical Policy Branch, Office of Policy, Planning, and Evaluation, Washington, DC. http://www.clu-in.org/download/stats/vol2gw.pdf

U.S. EPA. 1992b. *Statistical Methods for Evaluating the Attainment of Cleanup Standards-Volume 3: Reference- Based Standards for Soils and Solid Media.* PB94 176831. Statistical Policy Branch, Office of Policy, Planning, and Evaluation, Washington, DC. http://www.epa.gov/tio/download/stats/vol3-refbased.pdf

U.S. EPA. 1992c. *Guidance for Data Usability in Risk Assessment (Part A).* Publication 9285.7A. Office of Emergency and Remedial Response, Washington, DC. http://www.epa.gov/oswer/riskassessment/datause/pdf/datause-parta.pdf

U.S. EPA. 1992d. *Guidance for Data Usability in Risk Assessment (Part B).* Publication 9285.78. Office of Emergency and Remedial Response, Washington, DC. http://www.epa.gov/oswer/riskassessment/datause/pdf/datause-partb.pdf

U.S. EPA. *Implementing the Deputy Administrator's Risk Characterization Memorandum.* Memorandum from H.L. Longest, Director of Office of Emergency and Remedial Response, Washington, DC, 5/26/92. http://www.epa.gov/oswer/riskassessment/pdf/1992_0526_risk_characterization_memo.pdf

U.S. EPA. 1993a. *Data Quality Objectives for Superfund, Interim Final Guidance.* EPA 504-R-93/071. Office of Emergency and Remedial Response, Washington, DC. NTIS PB94-963203.

U.S. EPA. 1993b. *External Exposure to Radionuclides in Air, Water, and Soil: Federal Guidance Report No. 12.* EPA-402-R-93-081. Office of Air and Radiation, Washington, DC. http://www.epa.gov/rpdweb00/docs/federal/402-r-93-081.pdf

U.S. EPA. 1994a. *Guidance for the Data Quality Objectives Process.* EPA QA/G4. Office of Research and Development. http://www.epa.gov/osw/hazard/correctiveaction/resources/guidance/qa/epaqag4.pdf

U.S. EPA. 1994b. *Estimating Radiogenic Cancer Risks.* EPA 402-R-93-076. Office of Radiation and Indoor Air, Washington, DC. http://www.epa.gov/rpdweb00/docs/assessment/402-r-93-076.pdf

U.S. EPA. 1995a. *EPA Risk Characterization Program.* Memorandum from Carol Browner, Office of the Administrator, Washington, DC, 3/21/95. http://www.epa.gov/oswer/riskassessment/pdf/1995_0521_risk_characterization_program.pdf

U.S. EPA. 1995b. *Guidance for Risk Characterization.* Science Policy Council, February 1995. http://www.epa.gov/spc/pdfs/rcguide.pdf

U.S. EPA. 1996. *Radiation Exposure and Risk Assessment Manual: Risk Assessment Using Radionuclide Slope Factors.* EPA 402-R-96-016, Office of Radiation and Indoor Air, Washington, DC, June 1996.

U.S. EPA. 1997a. *Establishment of Cleanup Levels for CERCLA Sites with Radioactive Contamination,* OSWER No. 9200.4-18, August 22, 1997. http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/radguide.pdf

U.S. EPA. 1997b. *Exposure Factors Handbook (Update).* EPA/600/P-95/002F. Office of Research and Development, Washington, DC, August 1997.

U.S. EPA. l997c. *Use of Probabilistic Techniques (Including Monte Carlo Analysis) in Risk Assessment,* Memorandum from Deputy Administrator Hansen, August 1997. http://www.epa.gov/spc/pdfs/probcovr.pdf

U.S. EPA. 1997d. *Guiding Principles for Monte Carlo Analysis,* EPA/630/R-97-001. http://www.epa.gov/ncea/pdfs/montcarl.pdf

U.S. EPA. l997e. *Ecological Risk Assessment Guidance for Superfund: Process for Designing and Conducting Ecological Risk,* OSWER Directive 9285.7-25, http://www.epa.gov/oswer/riskassessment/ecorisk/pdf/intro.pdf

U.S. EPA. 1997f. *Clarification of the Role of Applicable, or Relevant and Appropriate Requirements in Establishing Preliminary Remediation Goals under CERCLA,* OSWER No. 9200.4-23, August 22, 1997.
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/aras.pdf

U.S. EPA. 1997g. Memorandum from James E. Woolford, Office of Restoration and Reuse, and Stephen D. Luftig, Office of Emergency and Remedial Response, Washington, DC. to Raymond P. Berube, U.S. Department of Energy. 12/12/1997.
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/EPA1997g.pdf

U.S. EPA. 1998a. *Risk Assessment Guidance for Superfund, Volume 1: Human Health Evaluation Manual, Part D, Standardized Planning, Reporting, and Review of Superfund Risk Assessments.* Publication 9285.7-01D. NTIS PB97-963305. Office of Emergency and Remedial Response, Washington, DC.
http://www.epa.gov/oswer/riskassessment/ragsd/index.htm

U.S. EPA. 1998b. *Risk Assessment Guidance for Superfund, Volume 1: Human Health Evaluation Manual, Part E, Supplemental Guidance to RAGS: The Use of Probabilistic Analysis in Risk Assessment.* (Working Draft). Office of Emergency and Remedial Response, Washington, DC.
http://www.epa.gov/oswer/riskassessment/ragse/index.htm

U.S. EPA. l998c. *Use of Soil Cleanup Criteria in 40 CFR Part 192 as Remediation Goals for CERCLA Sites,* OSWER Directive No. 9200.4-25, February 1998.
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/umtrcagu.pdf

U.S. EPA. 1998e. *Integrated Risk Information System (IRIS).* Cincinnati, OH.
http://www.epa.gov/IRIS/
http://www.epa.gov/rpdweb00/heast/index.html

U.S. EPA. 1998f. Health Effects Summary Tables (HEAST); Annual Update, FY1998. Environmental Criteria and Assessment Office, Office of Health and Environmental Assessment, Office of Research and Development, Cincinnati, OH.
http://cfpub.epa.gov/ncea/cfm/recordisplay.cfm?deid=2877

U.S. EPA. l999a. *Radiation Risk Assessment at CERCLA Sites: Q&A,* Office of Emergency and Remedial Response and Office of Radiation and Indoor Air. Washington, DC. OSWER Directive 9200.4-31P,
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/riskqa.pdf

U.S. EPA. l999b. *Ecological Risk Assessment and Risk Management Principle for Superfund Sites,* OSWER Directive 9285.7-28P,
http://www.epa.gov/oswer/riskassessment/ecorisk/pdf/final99.pdf

U.S. EPA. l999c. *Cancer Risk Coefficients for Environmental Exposure to Radionuclides: Federal Guidance Report No.13.* EPA 402-R-99-001. Office of Air and Radiation, Washington, DC.
http://www.epa.gov/radiation/federal/techdocs.html#report13

U.S. EPA. l999d. *Distribution of OSWER Radiation Risk Assessment at CERCLA Sites Q&A Final Guidance,* Memorandum from Stephen D. Luftig, Office of Emergency and Remedial Response and Stephen D. Page, Office of Radiation and Indoor Air. Washington, DC. 12/ 17/1999
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/EPA1999d.pdf

U.S. EPA. 2000a. *Soil Screening Guidance for Radionuclides: User's Guide*. Office of Emergency and Remedial Response and Office of Radiation and Indoor Air. Washington, DC. OSWER No. 9355.4-16A
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/ssuserguide.pdf

U.S. EPA. 2000b. *Soil Screening Guidance for Radionuclides: Technical Background Document*. Office of Emergency and Remedial Response and Office of Radiation and Indoor Air. Washington, DC. OSWER No. 9355.4-16
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/sstbd.pdf

U.S. EPA. 2000c. *Guidance for Data Quality Assessment.* EPA QA/G9. Quality Assurance Management Staff, Office of Research and Development, Washington, DC. EPA/600/R-96/084
http://www.clu-in.org/conf/tio/pasi_121603/g9-final.pdf

U.S. EPA, NRC, U.S. DOE, and U.S. Department of Defense. 2000d. *Multi-Agency Radiation Survey and Site Investigation Manual* (MARSSIM). NUREG-1575, EPA 402-R-97-016, Rev.1 Washington, DC.
http://www.epa.gov/radiation/marssim/obtain.html

U.S. EPA. 2000e. *Soil Screening Guidance for Radionuclides* electronic calculator.
http://rais.ornl.gov/rad_start.shtml

U.S. EPA. 2000f. Memorandum from Robert Perciasepe, Office of Air and Radiation and Timothy Fields, Jr. Office of Solid Waste and Emergency Response, Washington, DC. to Charles M. Hardin, Conference of Radiation Control Program Directors. 7/7/2000
http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/EPA2000f.pdf

U.S. EPA. 2002a *Radionuclide Preliminary Remediation Goals (PRGs) for Superfund* electronic calculator.
http://epa-prgs.ornl.gov/radionuclides/

U.S. EPA. 2002b *Role of Background in the CERCLA Cleanup Program.* Office of Emergency and Remedial Response. OSWER 9285.6-07P
http://www.epa.gov/oswer/riskassessment/pdf/bkgpol_jan01.pdf

U.S. EPA. 2002c *Simulating Radionuclide Fate and Transport in the Unsaturated Zone: Evaluation and Sensitivity Analyses of Select Computer Models* http://www.epa.gov/nrmrl/pubs/600r02082/600R02082-full.pdf

U.S. EPA 2003. *World Trade Center Indoor Environmental Assessment: Selecting Contaminants of Potential Concern and Setting Health-Based Benchmarks*. Prepared by the Contaminants of Potential Concern (COPC) Committee of the World Trade Center Indoor Air Task Force Working Group. http://www.epa.gov/wtc/copc_benchmark.pdf

U.S. EPA. 2004a *Radionuclide ARAR Dose Compliance Concentrations (DCCs) for Superfund* electronic calculator. http://epa-dccs.ornl.gov/

U.S. EPA, NRC, U.S. DOE, and U.S. Department of Defense. 2004b. *Multi-Agency Radiation Laboratory Analytical Protocols (MARLAP).* Washington, DC. http://www.epa.gov/rpdweb00/marlap/manual.html#voli_chaps

U.S. EPA. 2004c. *Distribution of OSWER Radionuclide ARAR Dose Compliance Concentrations (DCCs) for Superfund Electronic Calculator*. OSWER 9355.0-86A, January 28, 2004. http://www.epa.gov/superfund/health/contaminants/radiation/pdfs/dccmemo.pdf

U.S. EPA. 2005a. *Superfund Radiation Risk Assessment and How You Can Help: An Overview*. http://www.epa.gov/superfund/health/contaminants/radiation/radvideo.htm

U.S. EPA. 2005b. *Uniform Federal Policy for Implementing Environmental Quality Systems: Evaluating, Assessing, and Documenting Environmental Data Collection/Use and Technology Programs*. EPA: EPA-505-F-03-001, DoD: DTIC ADA 395303, DOE: DOE/EH-0667, Version 2 Washington, DC. http://www.epa.gov/superfund/health/contaminants/radiation/radvideo.htm

U.S. EPA. 2006. *Inventory of Radiological Methodologies for Sites Contaminated with Radioactive Materials.* EPA 402-R-06-007 October 2006 http://www.epa.gov/narel/IRM_Final.pdf

U.S. EPA 2007. *Preliminary Remediation Goals for Radionuclides in Buildings (BPRG)* electronic calculator. http://epa-bprg.ornl.gov/

U.S. EPA 2008. *Regional Screening Levels for Chemical Contaminants at Superfund Sites (RSL)* electronic calculator. http://www.epa.gov/reg3hwmd/risk/human/rb-concentration_table/index.htm

U.S. EPA 2009a. *Preliminary Remediation Goals for Radionuclides in Outdoor Surfaces (SPRG)* electronic calculator. http://epa-sprg.ornl.gov/

U.S. EPA, NRC, U.S. DOE, and U.S. Department of Defense. 2009b. *Multi-Agency Radiation Survey and Assessment of Materials and Equipment Manual* (MARSAME). NUREG-1575, Supp. 1, EPA 402-R-09-001, Washington, DC. http://www.epa.gov/rpdweb00/marssim/marsame.html

U.S. EPA 2010a. *ARAR Dose Compliance Concentrations Goals for Radionuclides in Buildings (BDCC)* electronic calculator. http://epa-bdcc.ornl.gov/

U.S. EPA 2010b. *ARAR Dose Compliance Concentrations Goals for Radionuclides in Buildings (SDCC)* electronic calculator. http://epa-sdcc.ornl.gov/

U.S. EPA 2014a. Draft *Counts Per Minute (CPM)* electronic calculator.

U.S. EPA 2014b. Draft *Ecological Benchmarks for Radionuclides* electronic calculator.

APPENDIX A:
EPA's Recommended Guidance for Radiation Risk Assessment at CERCLA Remedial Sites

- The *Preliminary Remediation Goals (PRGs) for Radionuclides* electronic calculator, known as the Rad PRG calculator (U.S. EPA 2002a). This electronic calculator presents risk-based standardized exposure parameters and equations that should be used for calculating radionuclide PRGs for residential, commercial/industrial, agricultural, tap water, and fish ingestion exposures. The calculator also presents soil PRGs that protect groundwater, which are determined by calculating the concentration of radioactively contaminated soil subject to leaching to groundwater that will meet maximum contaminant levels (MCLs) or risk-based concentrations.

- The *Building Preliminary Remediation Goals for Radionuclides (BPRG)* electronic calculator (U.S. EPA 2007). The BPRG calculator helps standardize the evaluation and cleanup of the interiors of radiologically contaminated buildings where risk is being assessed for occupancy. BPRGs are radionuclide concentrations in dust, air, and building materials that correspond to a specified level of human cancer risk.

- The *Radionuclide Outdoor Surfaces Preliminary Remediation Goals (SPRG)* electronic calculator (U.S. EPA 2009a). The SPRG calculator was developed to address radionuclide concentrations in dust on and within hard outside surfaces such as building slabs, outside building walls, sidewalks, and roads.

- *Soil Screening Guidance for Radionuclides* contains both a *User's Guide* and *Technical Background Document*, (known as the Rad SSG documents) that provide information on soil screening for radionuclides at CERCLA sites (U.S. EPA 2000a, 2000b). The risk assessment equations and the soil screening levels (SSLs) in this guidance have been superseded by the Rad PRG calculator;

- *ARAR Dose Compliance Concentrations for Radionuclides (DCC)* electronic calculator (U.S. EPA 2004a). The DCC calculator equations are identical to those in the PRG for Radionuclides, except that the applicable or relevant and appropriate requirement (ARAR) based target dose rate (in millirems per year or mrem/yr) is substituted for the target cancer risk (1×10^{-6}), the period of exposure is 1 year to indicate year of peak dose, and a Dose Conversion Factor (DCF) is used in place of the slope factor. The DCC calculator presents standardized exposure parameters and equations that should be used for calculating radionuclide DCCs for residential, commercial/industrial, agricultural, tap water, and fish ingestion exposures.

- *ARAR Dose Compliance Concentrations for Radionuclides in Buildings (BDCC)* electronic calculator (U.S. EPA 2010a), known as the BDCC calculator, was developed to present standardized exposure parameters and equations that should generally be used for calculating

radionuclide BDCCs for interiors of contaminated buildings with either a residential or a commercial/industrial use.

- *ARAR Radionuclide Outdoor Surfaces Dose Compliance Concentrations for Radionuclides (SDCC)* electronic calculator (U.S. EPA 2010b), known as the SDCC calculator, was developed to present standardized exposure parameters and equations that should generally be used for calculating radionuclide SDCCs for outside hard surfaces (such as building slabs, outside building walls, sidewalks, and roads) with either a residential or a commercial/industrial use.

- Chapter 10, "Radiation Risk Assessment Guidance" of *RAGS* Part A (U.S. EPA 1989a) which covers data collection and evaluation, exposure and dose assessment, toxicity assessment, and risk characterization for sites contaminated with radioactive substances.

- Chapter 4, "Risk-based PRGs for Radioactive Contaminants," of *RAGS* Part B (U.S. EPA, 1991a) which presents standardized exposure parameters and equations that should generally be used for calculating PRGs for radionuclides under residential and commercial/industrial land use exposure scenarios. This guidance has been superseded by the PRG, BPRG, and SPRG calculators.

- Appendix D, "Radiation Remediation Technologies," of *RAGS* Part C (U.S. EPA 1991b), which provides guidance on using risk information to evaluate and select remediation technologies for sites with radioactive substances.

- *RAGS* Part D, *Standardized Planning, Reporting, and Review of Superfund Risk Assessments* (U.S. EPA, 1998a), which provides guidance on standardized risk assessment planning, reporting, and review throughout the CERCLA process.

- *Superfund Radiation Risk Assessment and How You Can Help: An Overview* (U.S. EPA, 2005a) is a video that explains to the public the Superfund risk assessment process and how the public can help inform the risk assessment process.